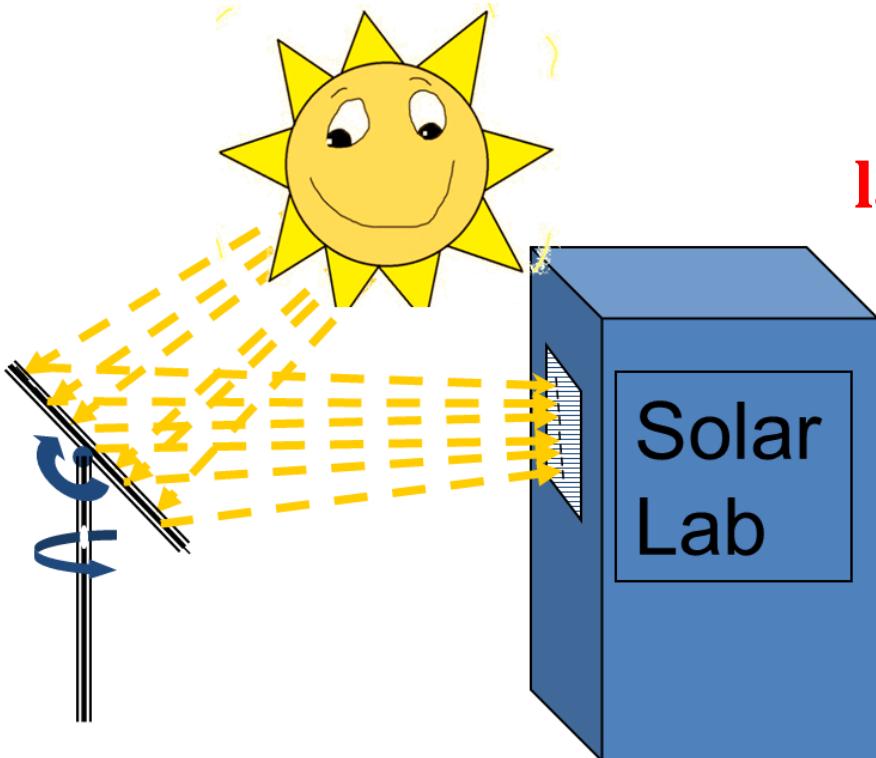


# **Concentrated photovoltaics: ultra-high efficiency and some ideas for unique applications**

**Eugene A. Katz**

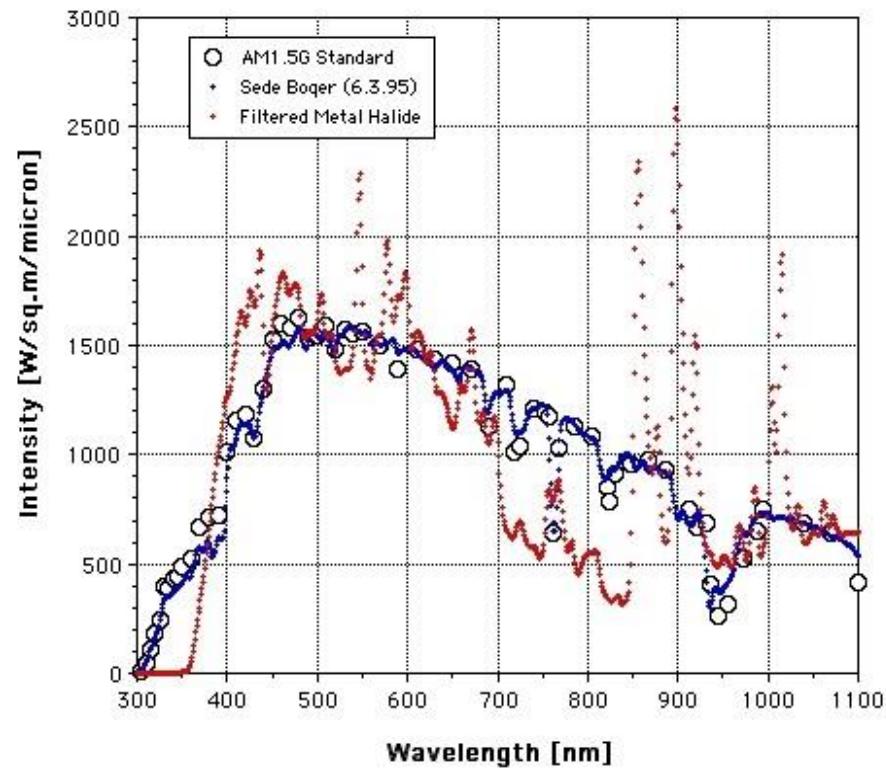
**Dept. of Solar Energy and Environmental Physics,  
The Jacob Blaustein Institutes for Desert Research,  
Ben-Gurion University of the Negev,  
Sede Boqer Campus, Israel**



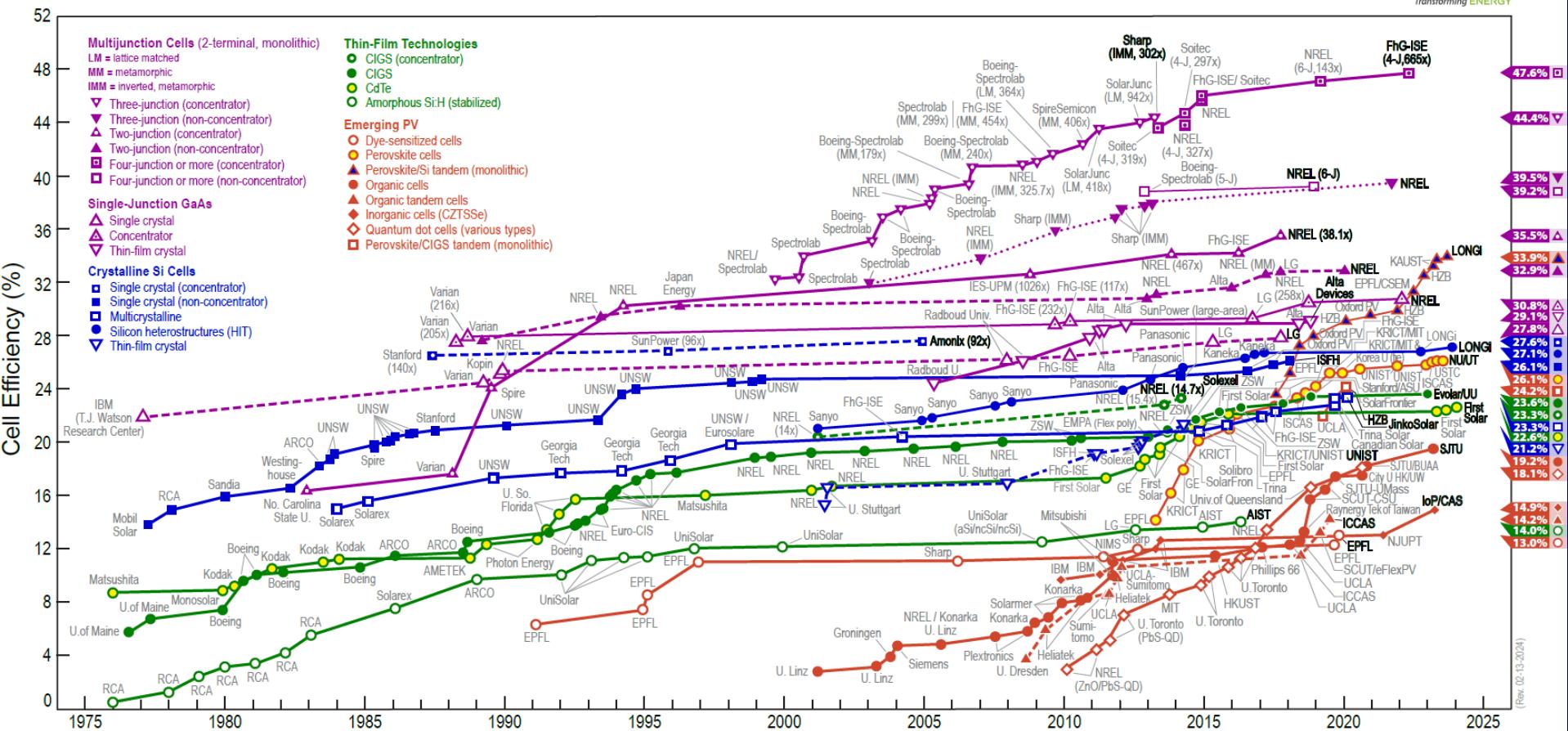


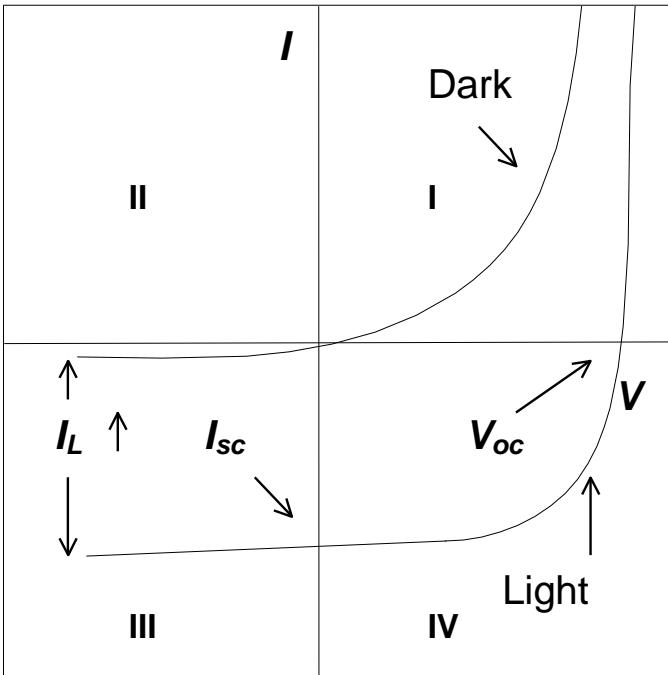
## Solar spectrum in Sede Boker (in the Negev Desert, Israel, lat. 30.8N, lon. 34.8E, alt. 475 m)

Noontime spectrum on  
cloudless days is always  
extremely close to the  
standard AM 1.5G  
spectrum when compared  
to any solar simulator

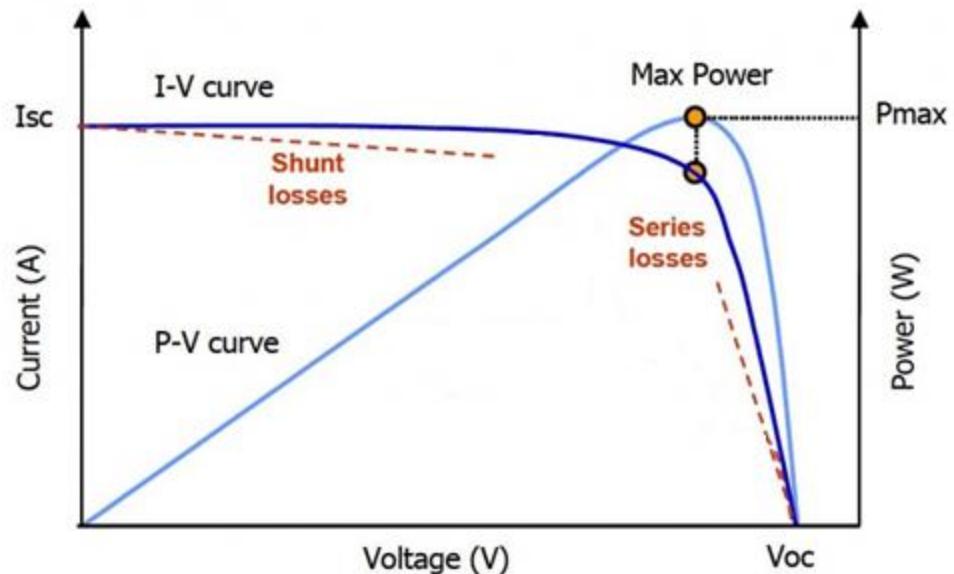


## Best Research-Cell Efficiencies





**a**



$$I_d = I_0 \left[ \exp\left(\frac{q(V + IR_s)}{nkT}\right) - 1 \right] + \frac{V + IR_s}{R_{sh}}$$

$$I_L = I_0 \left[ \exp\left(\frac{q(V + IR_s)}{nkT}\right) - 1 \right] + \frac{V + IR_s}{R_{sh}} - I_p$$

$$FF = \frac{I_{max} \times V_{max}}{V_{oc} \times I_{sc}}$$

$$\eta = \frac{I_{max} \times V_{max}}{P_{in}} = FF \frac{V_{oc} \times I_{sc}}{P_{in}}$$

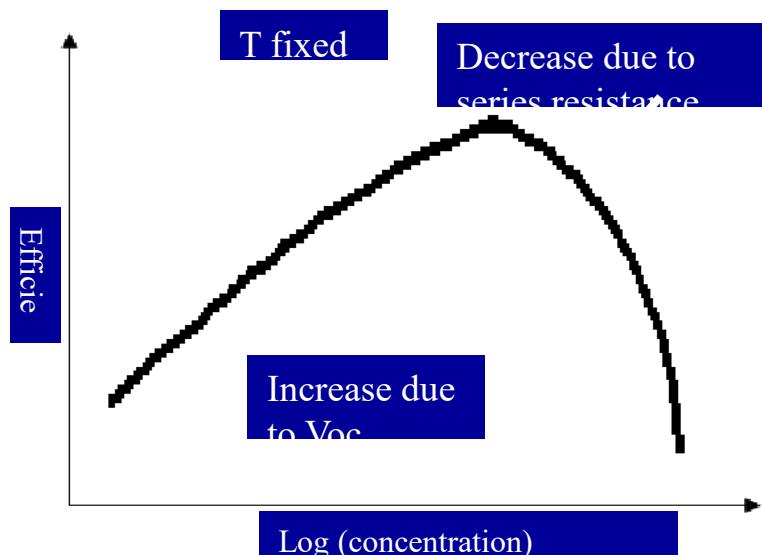
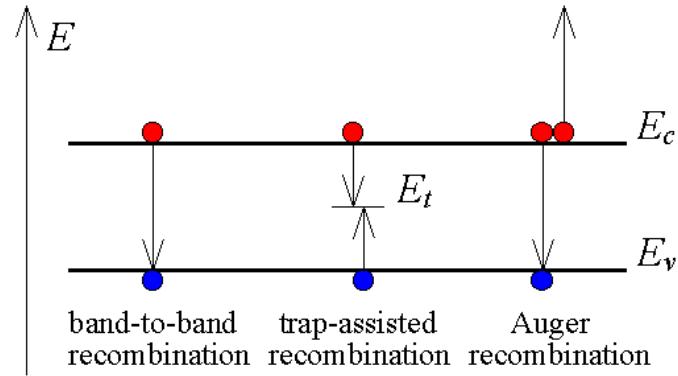
$$V_{oc} = \frac{n\kappa T}{q} \ln \left( \frac{I_{sc}}{I_o} + 1 \right)$$

$$\frac{dn}{dt} = G - k_1 n - k_2 n^2 - k_3 n^3$$

$n = 2$  for monomolecular recombination

$n = 1$  for bimolecular recombination

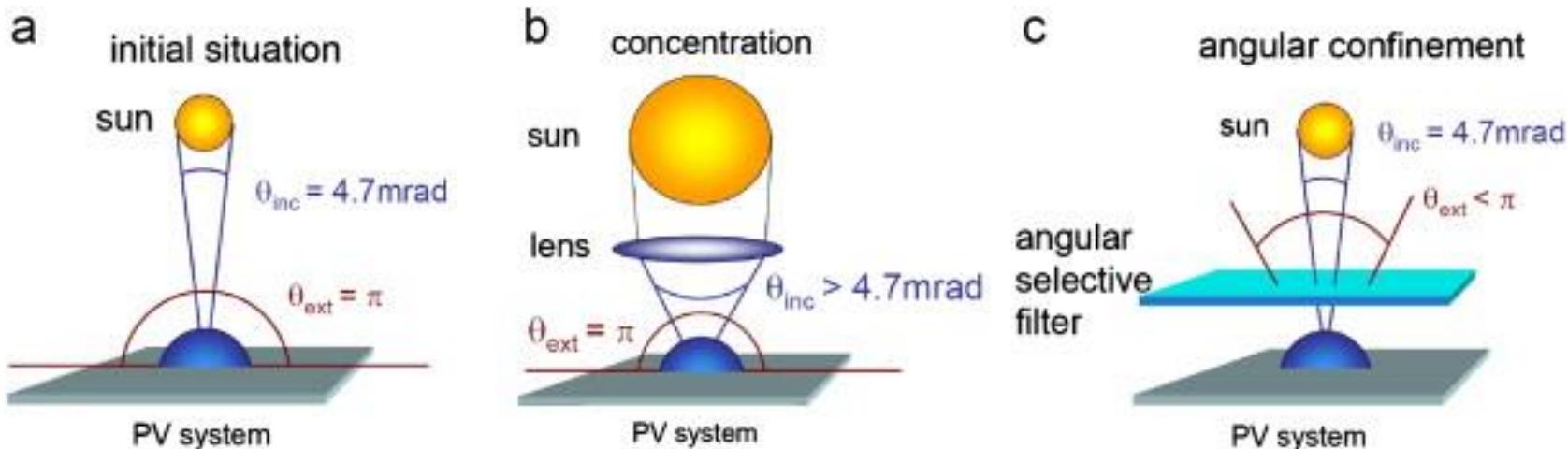
$$I_o = qN_v N_c \left[ \exp(-E_g / \kappa T) \right] \cdot \left( \frac{L_n}{n_n \tau_n} + \frac{L_p}{p_p \tau_p} \right)$$



$$I_L = I_0 \left[ \exp \left( \frac{q(V + IR_s)}{nkT} \right) - 1 \right] + \frac{V + IR_s}{R_{sh}} - I_p$$

$$\eta = \frac{I_{max} \times V_{max}}{P_{in}} = FF \frac{V_{oc} \times I_{sc}}{P_{in}}$$

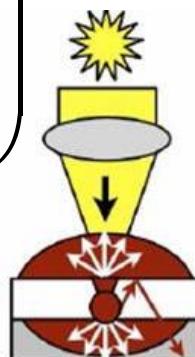
# New concept of ultra-efficient PV: concentration vs angular restriction of emission



$$V_{oc} = \frac{n\kappa T}{q} \ln \left( \frac{I_{sc}}{I_o} + 1 \right)$$

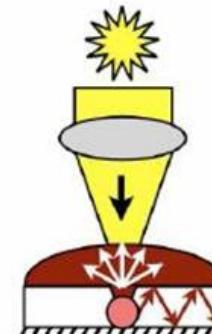
$$qV_{oc} = E_g \left( 1 - \frac{T}{T_{sun}} \right) - kT \left[ \ln \left( \frac{\Omega_{emit}}{\Omega_{sun}} \right) \right.$$

$$\left. + \ln \left( \frac{4n^2}{I} \right) - \ln(QE) \right]$$



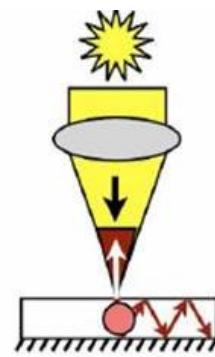
Absorbing substrate

33%



Rear reflector

36%



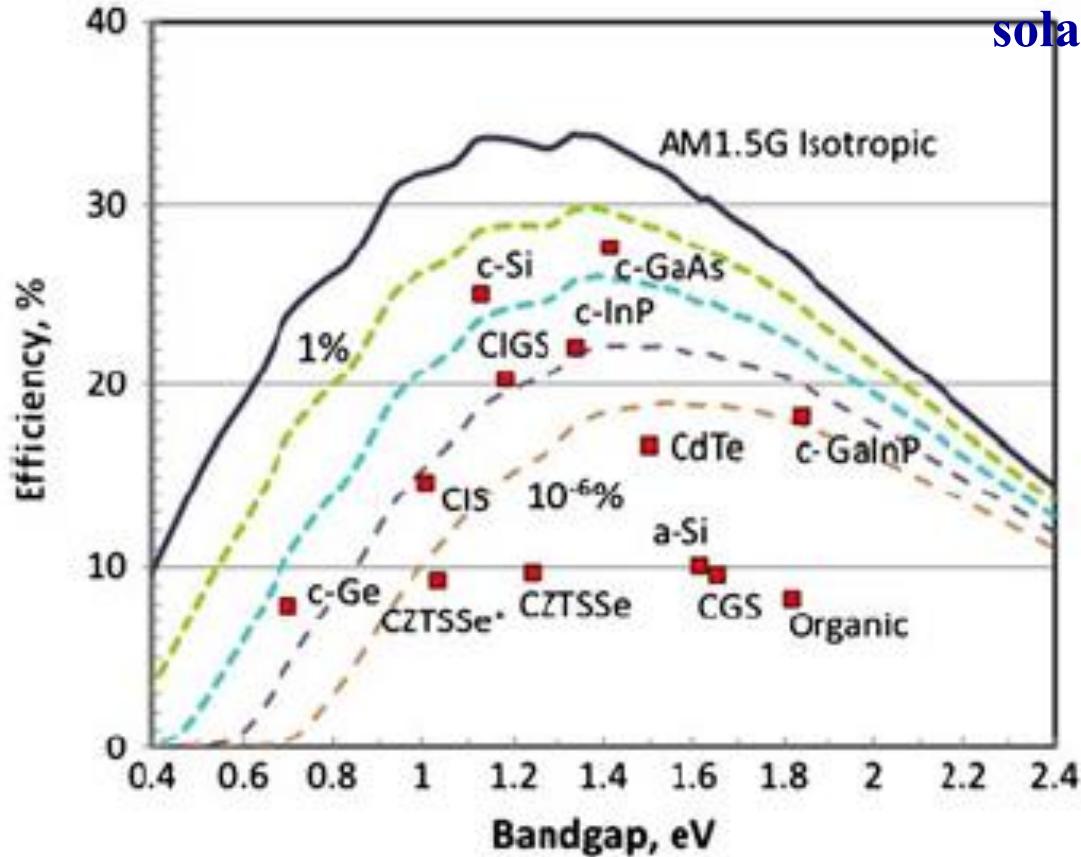
Restricted front emission

41%

## Shockley–Queisser limits:

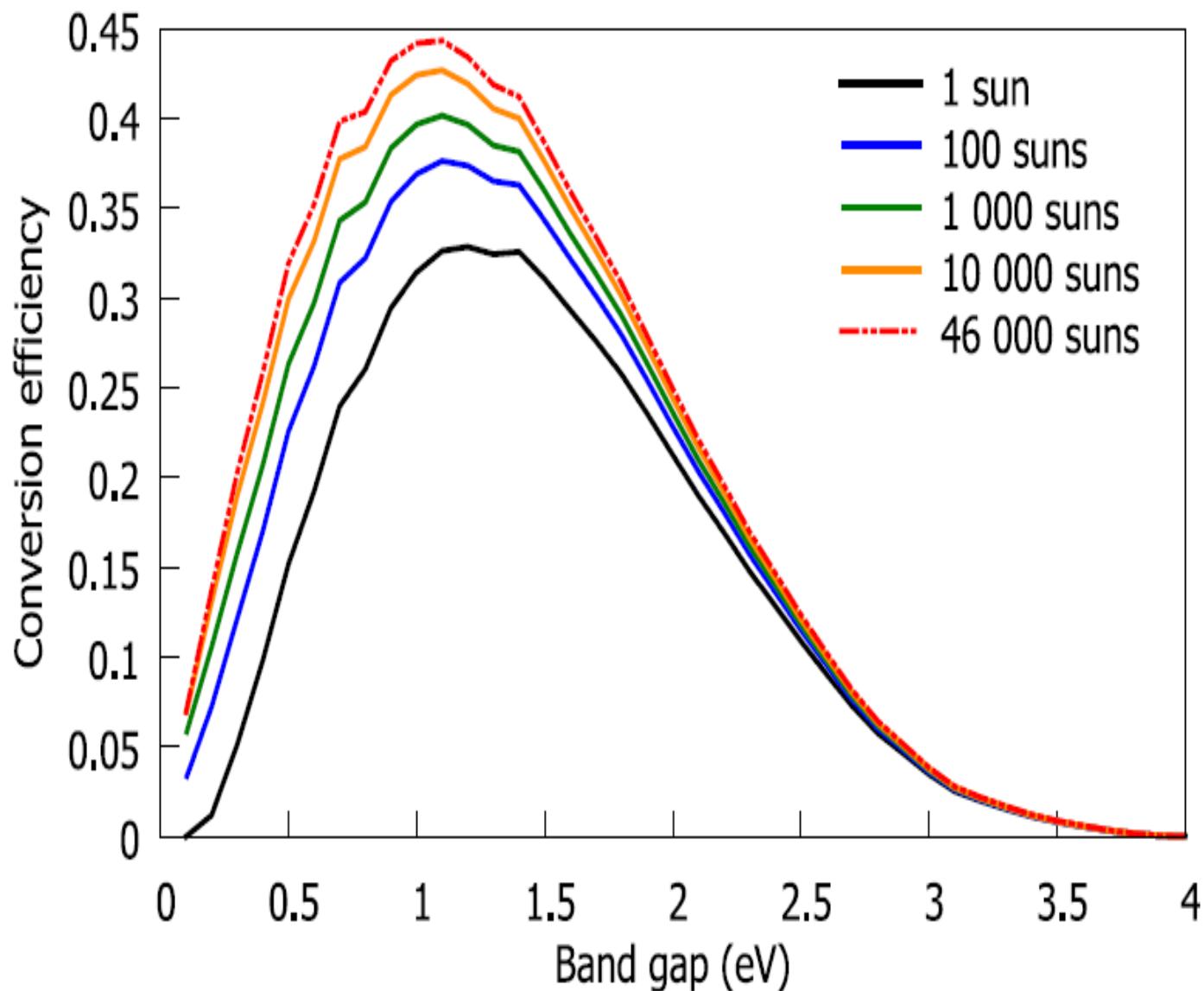
- (1) external radiative recombination efficiency  $Q_e = I_{o,rad}/I_o = 1$ ;
- (2)  $\eta_{e-h} = 0$  for  $h\nu < E_g$
- (3)  $\eta_{e-h} = 1$  for  $h\nu > E_g$

W. Shockley, H.J. Queisser, “Detailed balance limit of efficiency of p-n junction solar cells”, *J. Appl. Phys.* 32, 510-519 (1961).



For 1 sun – 33.5 %;  
For maximum sunlight concentration – 41%

*Shockley–Queisser limits on the efficiency of single-junction cells as well as limits for cells of  $Q_e = 1\%$ ,  $0.01\%$ ,  $0.0001\%$  and  $0.000001\%$ .  
The best confirmed experimental results are also shown.*



## 2. Concentrated photovoltaics: lessons with real sunlight

### Motivation for high concentration: (1) Economics

- 1 football field of ~ 17% solar cells at 1-sun ~ 500 kW.
- By using MJ cells (35%) at concentration of 500 suns, same power is produced from smaller semiconductor area (or the football field produce 500 MW).



Combination of high efficiency & 500X concentration boosts output per semiconductor area by a factor of 1000.

MJ cells are replaced by less expensive optics and common materials.

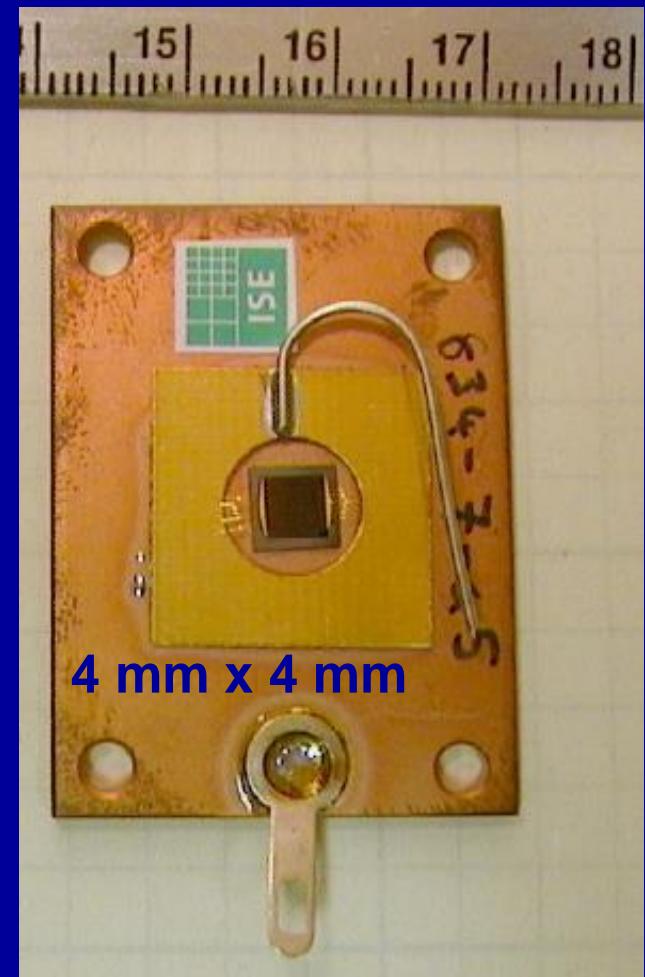
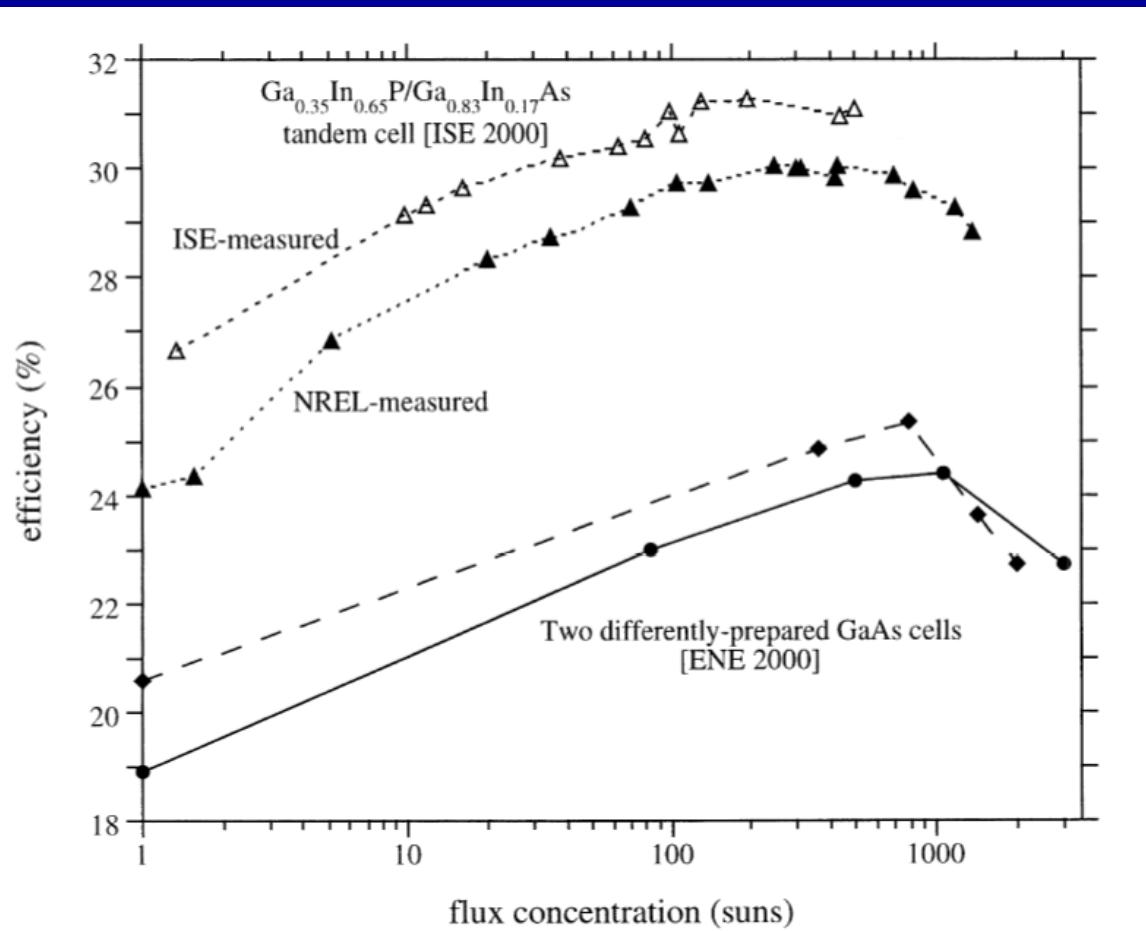
Leads to reduced cost of energy despite paying extra for tracking & cooling.

# Motivation for high concentration:

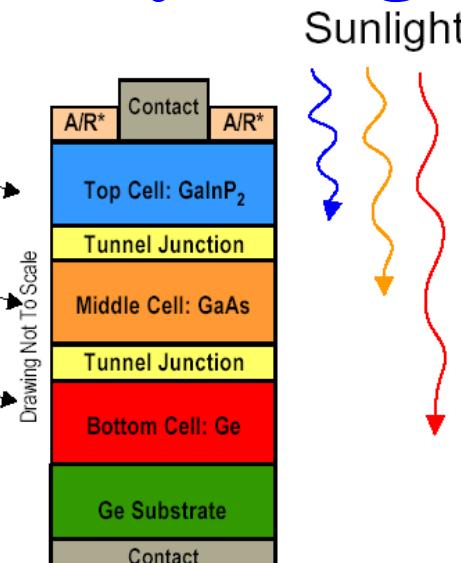
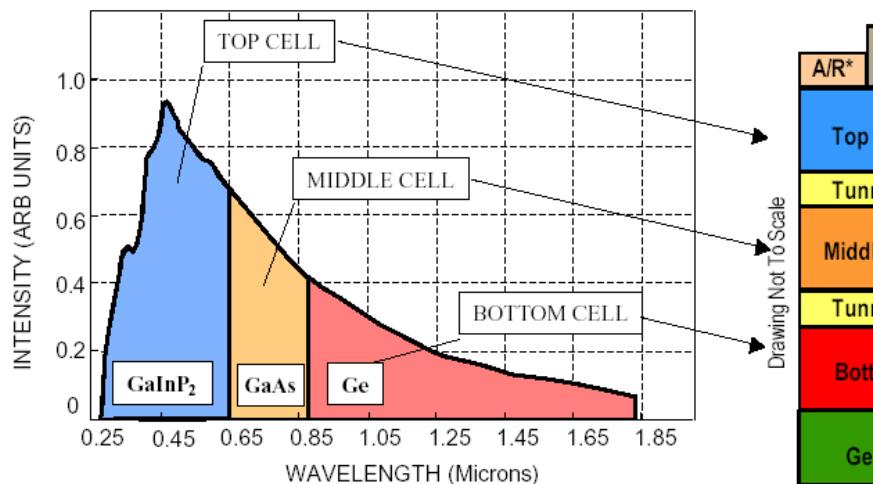
(1) Economic;

(2) Achievable increase in efficiency with flux

Tandem  $\text{Ga}_{0.35}\text{In}_{0.65}\text{P}/\text{Ga}_{0.83}\text{In}_{0.17}\text{As}$  solar cells

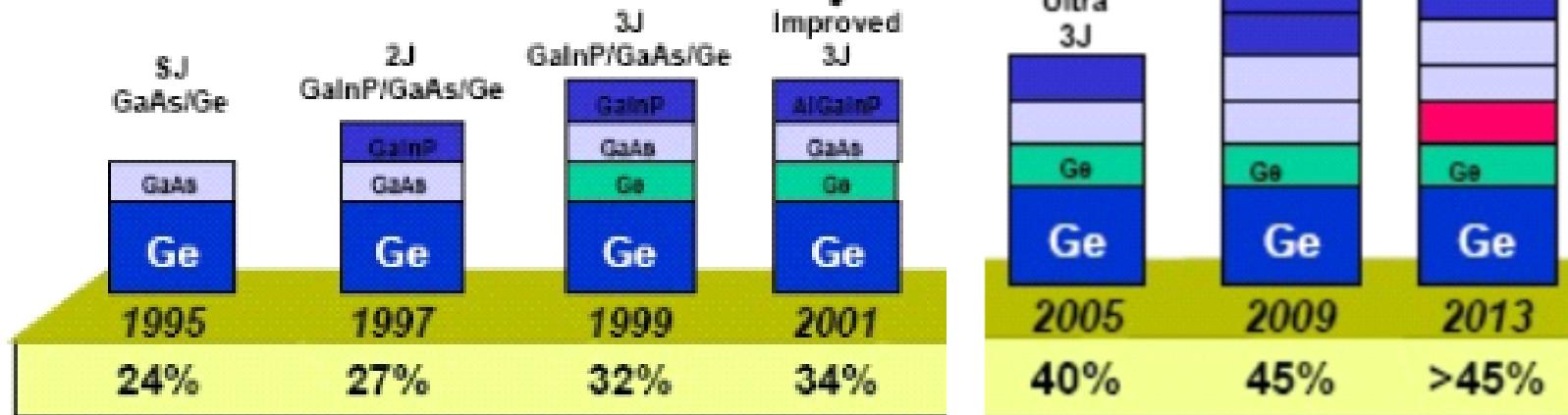


# “3J cells: high efficiency at high concentrations

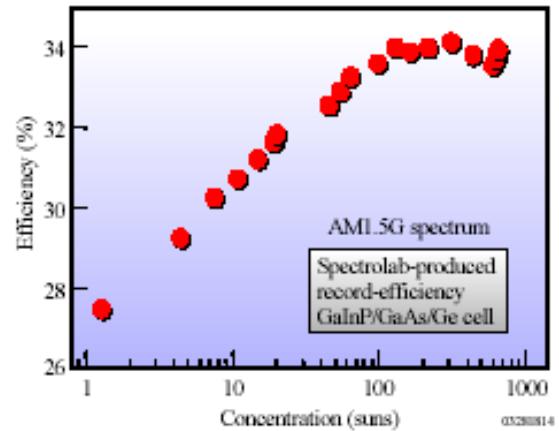


**World record**  
**> 47%**

- Typical 3J cell contains 20 layers or more.



Courtesy of Spectrolab, Inc.



**1 cm<sup>2</sup> 3-j cell – max at 350 suns**

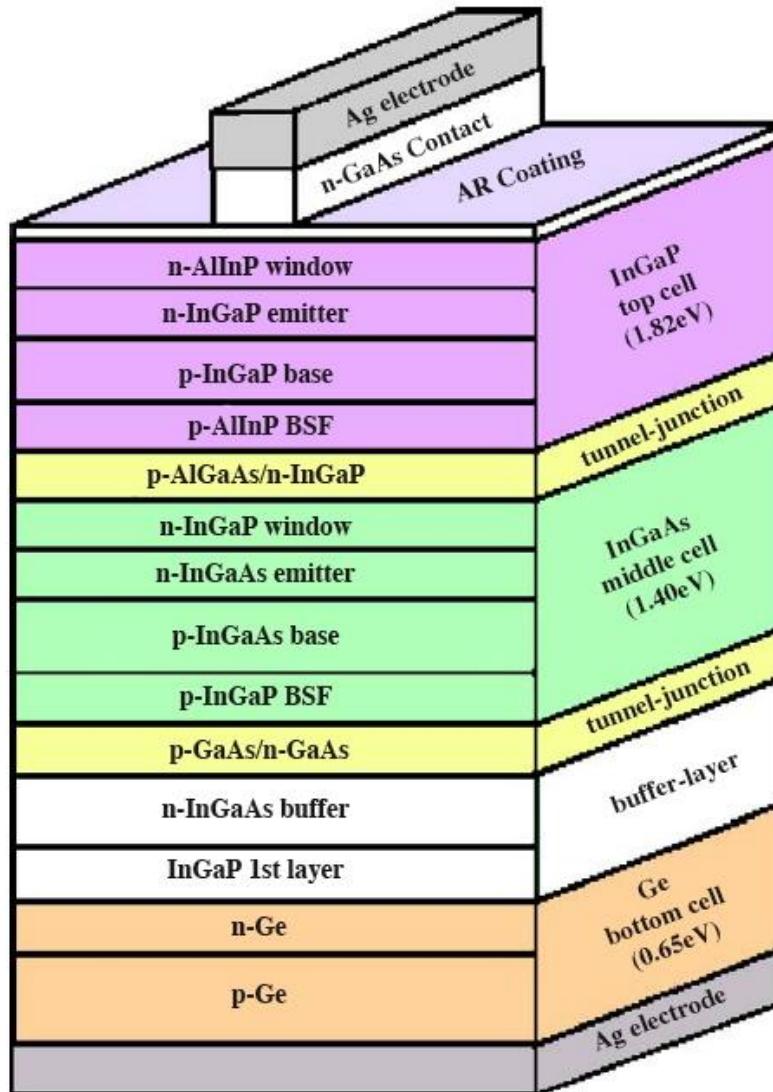
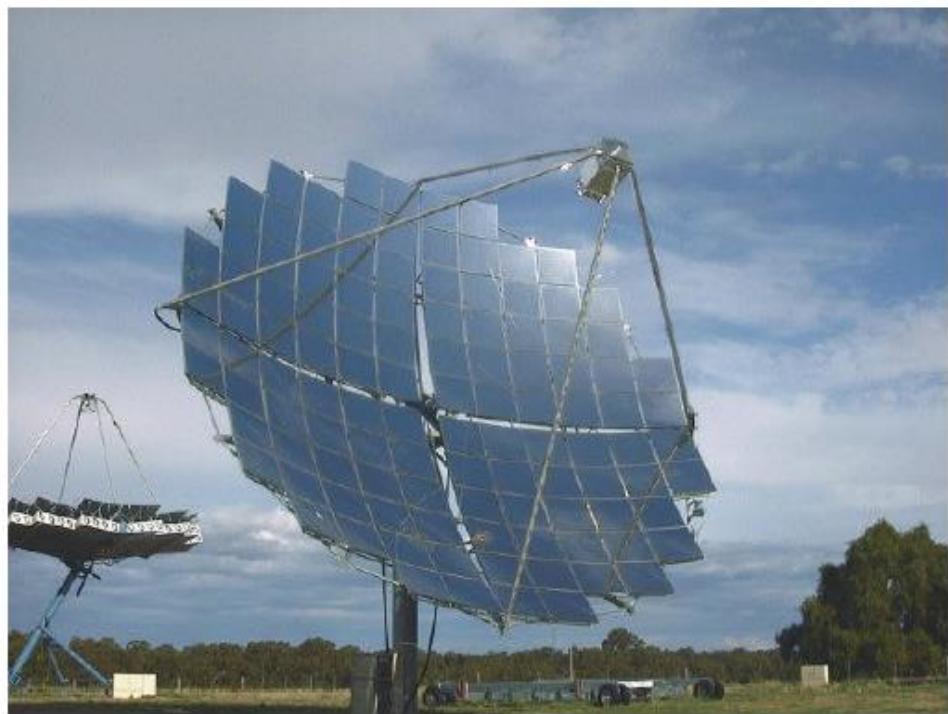


Fig. 1. Schematic of an InGaP/InGaAs/Ge triple-junction solar cell.



- Big reflective dish
- Central receiver
- Active cooling

- Systems located in central Australia,
- Each system produces 20 kW using Si cells

## Modules of III-V 3-j cells



# “Big dish” in Sede Boker



GaAs Monolithic Interconnected Modules (MIM) with integrated Bypass diodes, ISE

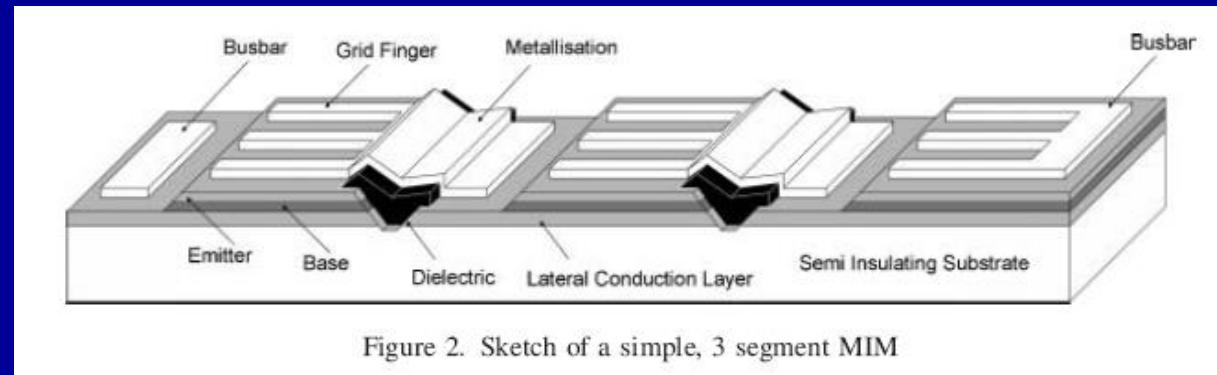
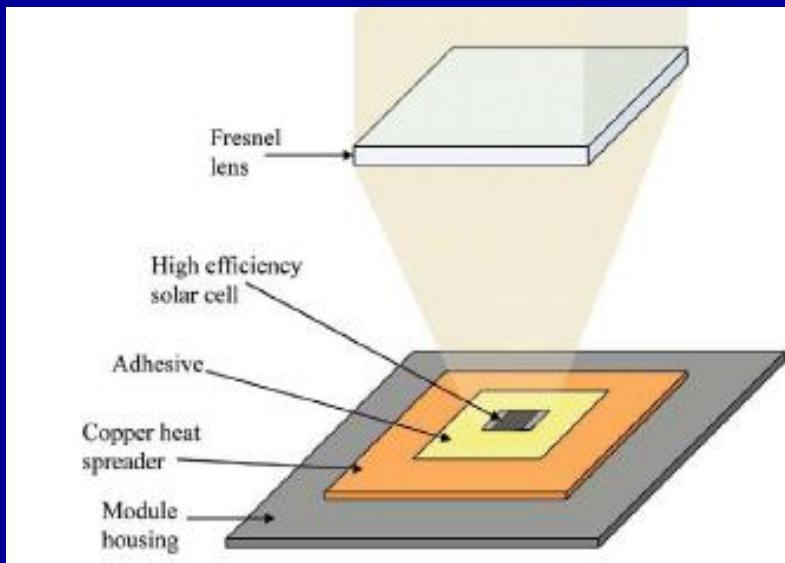


Figure 2. Sketch of a simple, 3 segment MIM

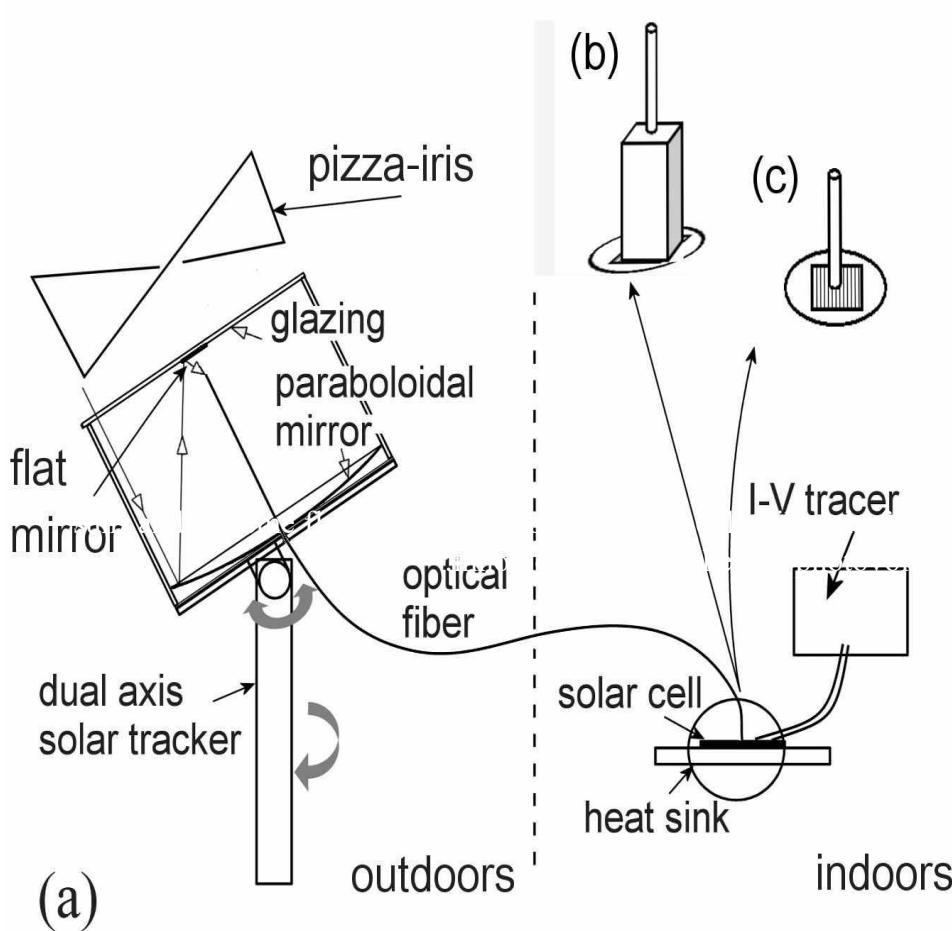
# 3D Fresnel lenses

for photovoltaics  
(one-concentrator-one-cell)

Flatcon concentrator,  
ISE, Concentrix



# Our solar fiber-optic mini-dish concentrator indoor test facility for ultra-high flux PV characterization



**Solar radiation is concentrated outdoors by a minidish mounted on a dual-axis solar tracker. A small flat mirror re-images the sun to the tip of an optical fiber which guides the concentrated sunlight to an indoor laboratory. Radiation on the cell was varied by a pizza-slice iris.**

**Flux uniformity is achieved with a square cross-section kaleidoscope (b), while direct fiber/cell contact is used for localized irradiation probe (c, d).**

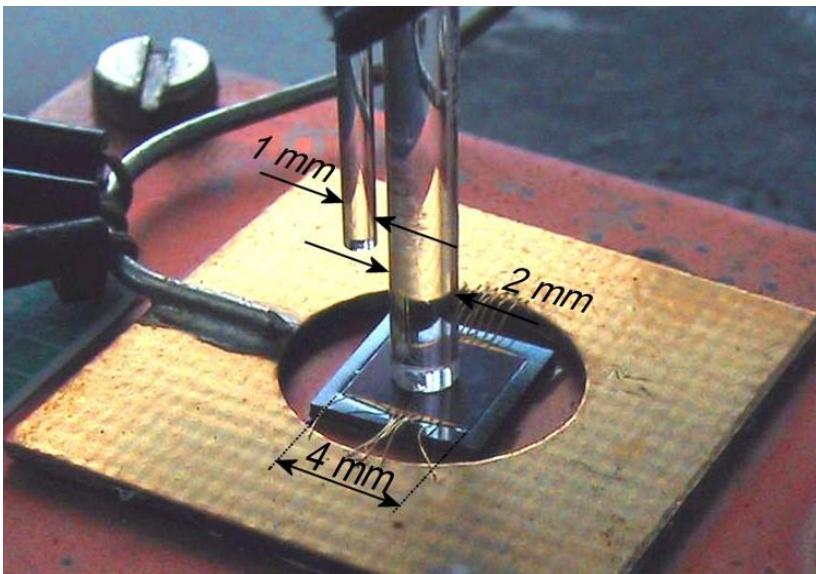
## Localized irradiation probing

permits investigating effects of:

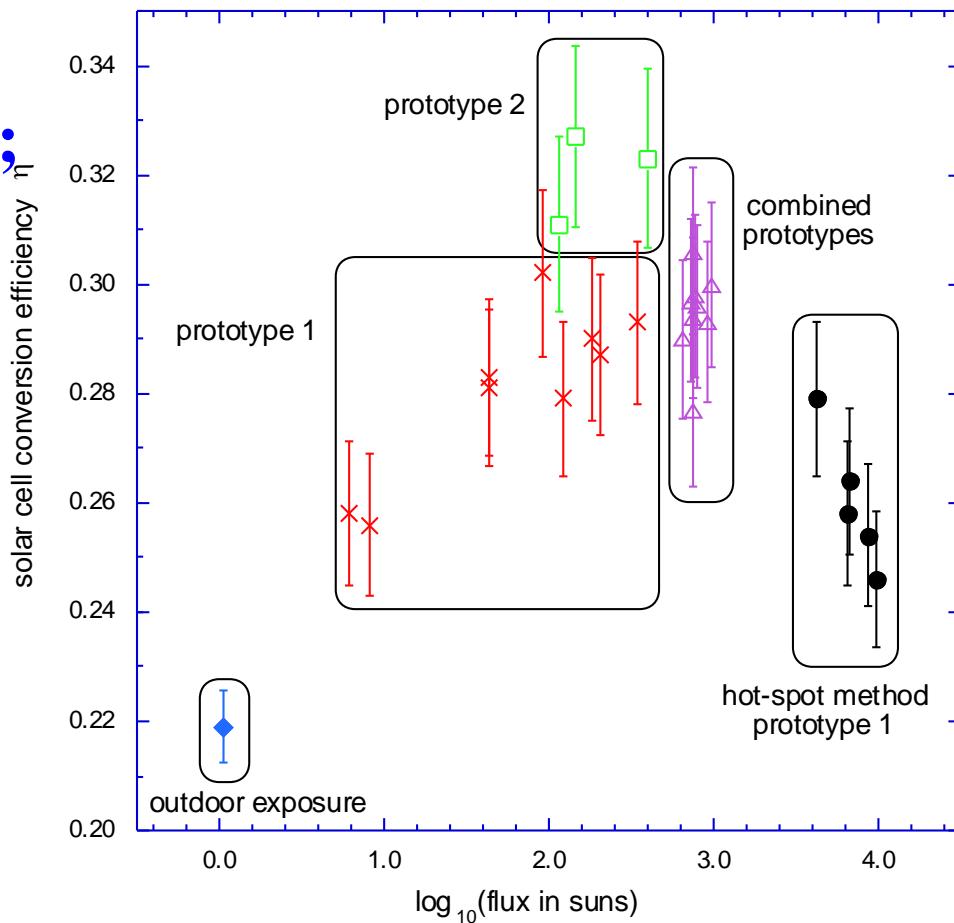
(1) ultra-high local flux levels  
(up to  $10^4$  suns);

(2) extreme flux inhomogeneity;

(3) PV performance uniformity  
over cell surface.



J.M. Gordon, E.A. Katz, D. Feuermann and M. Huleihil,  
*Appl. Phys. Lett.* 84, 3642 (2004).



$\text{Ga}_{0.35}\text{In}_{0.65}\text{P}/\text{Ga}_{0.83}\text{In}_{0.17}\text{As}$  2-j cells

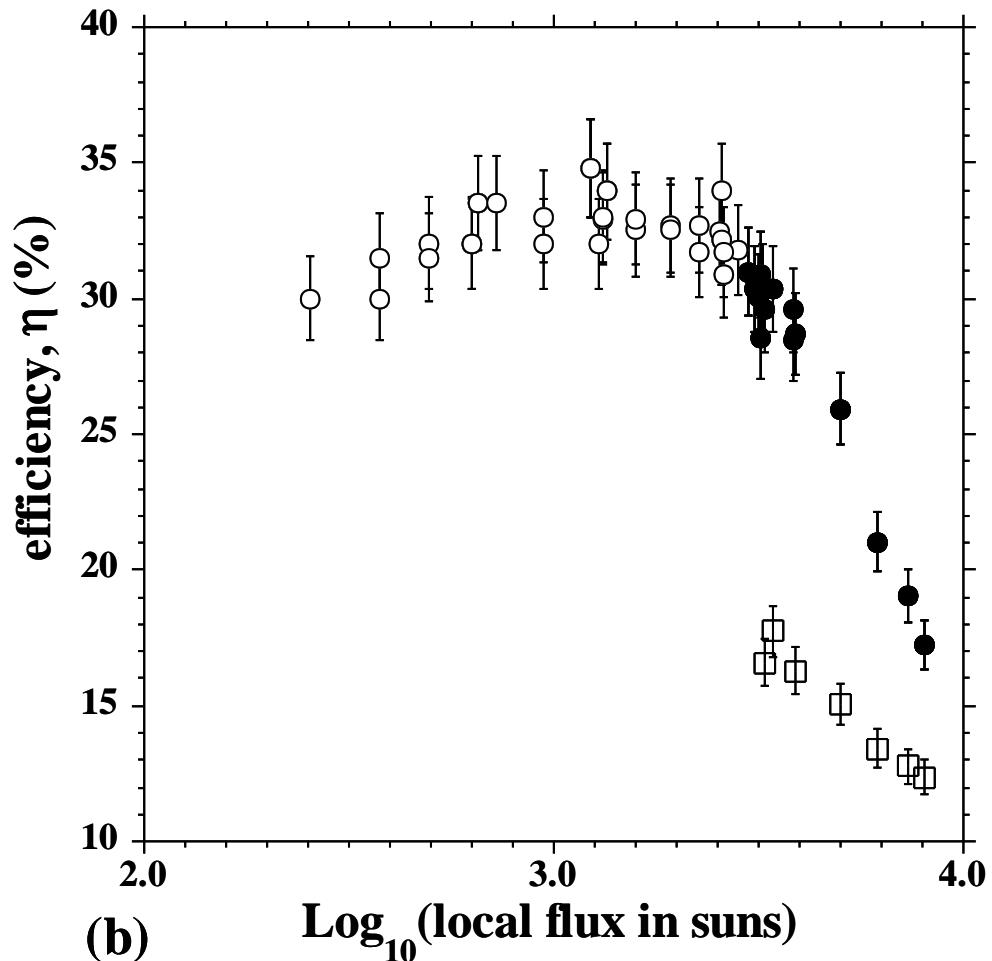
First observation of a  
pronounced photovoltaic  
hysteresis originated from  
the cell tunnel diode  
behavior under ultra-high  
fluxes

1.0 mm fiber,  
100 mm<sup>2</sup>  
3-junction cell,  
Spectrolab



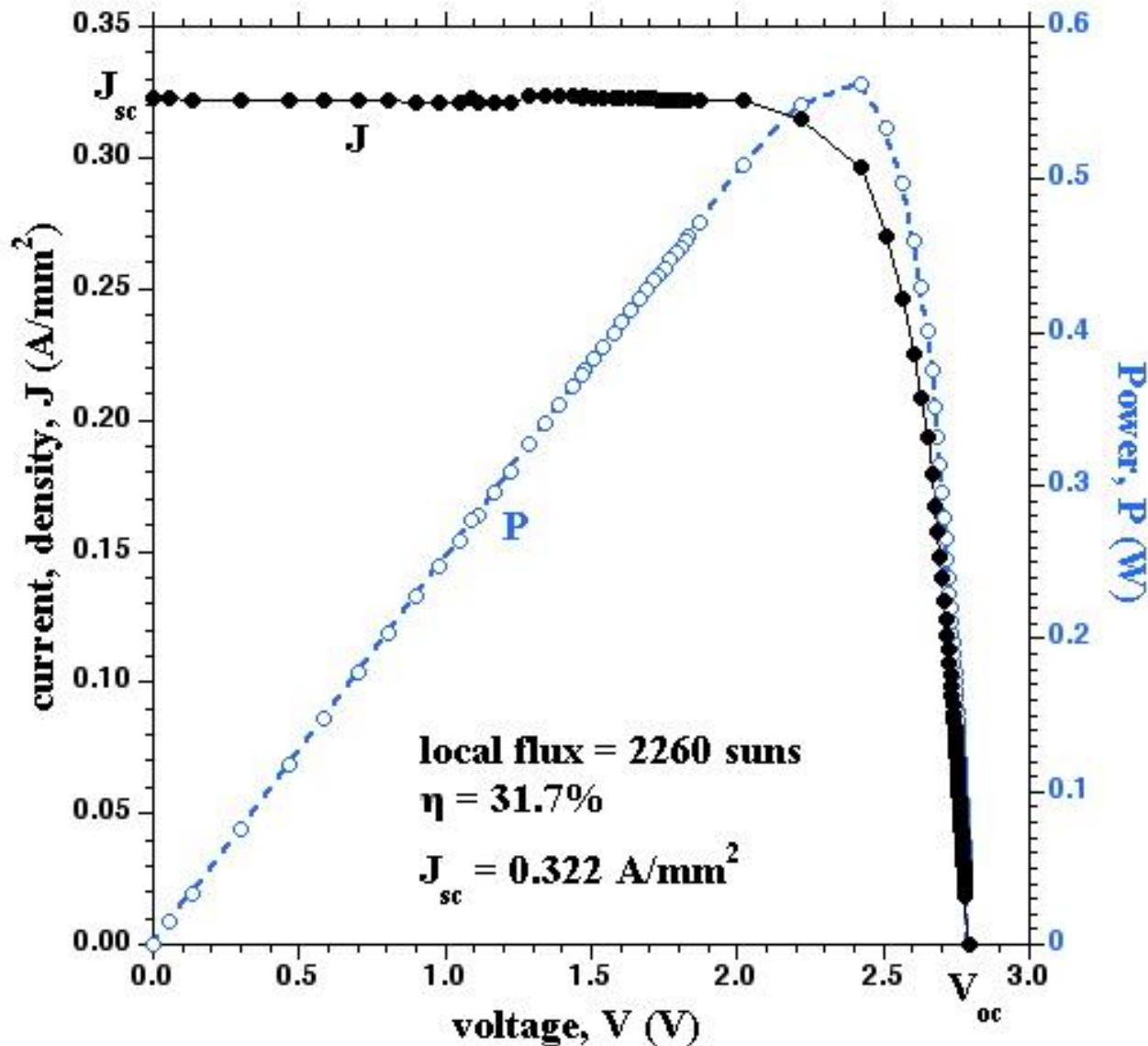
J.M. Gordon, E.A. Katz,  
W. Tassew and D.  
Feuermann,  
*Appl. Phys. Lett.*  
86, 073508 (2005).

# Cell efficiency as a function of local optical concentration



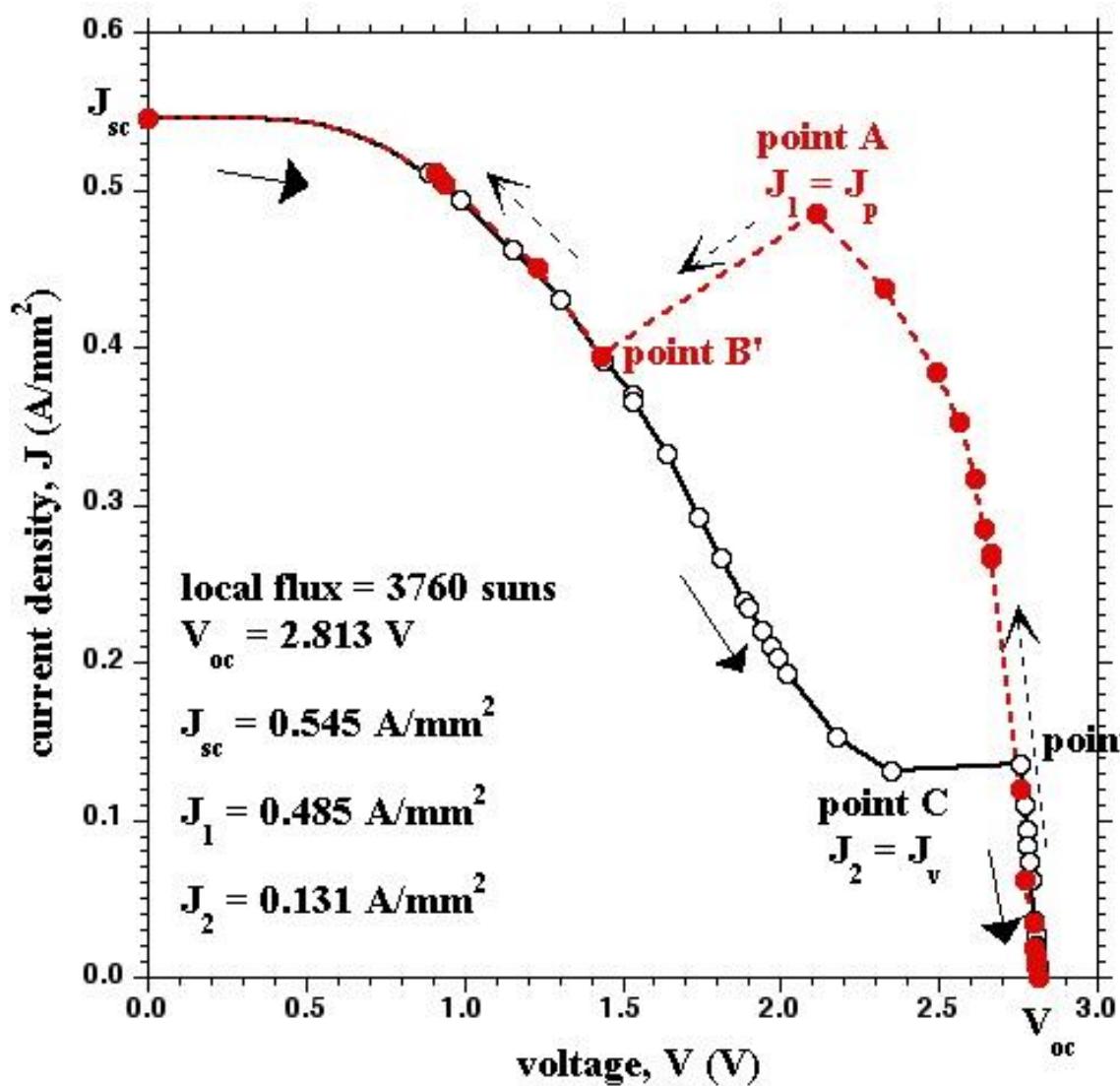
Data points below the 3200-sun threshold are independent of  $I-V$  trace direction.

## Local flux values < 3200 suns



*I-V curves are independent of measurement direction (open-to short-circuit or vice versa).*

**Local flux values > 3200 suns**



**I-V trace from open- to short-circuit:**

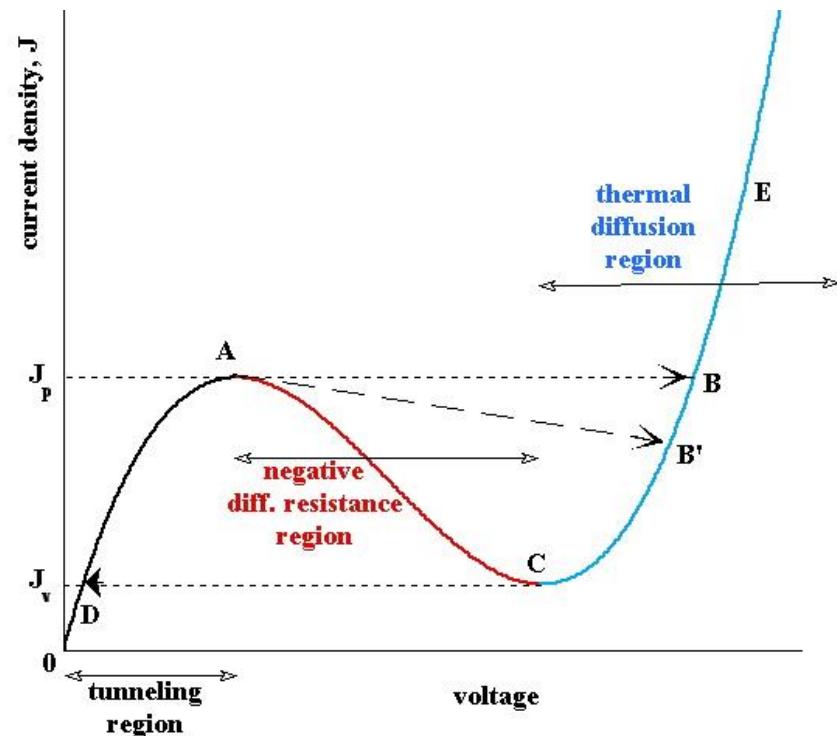
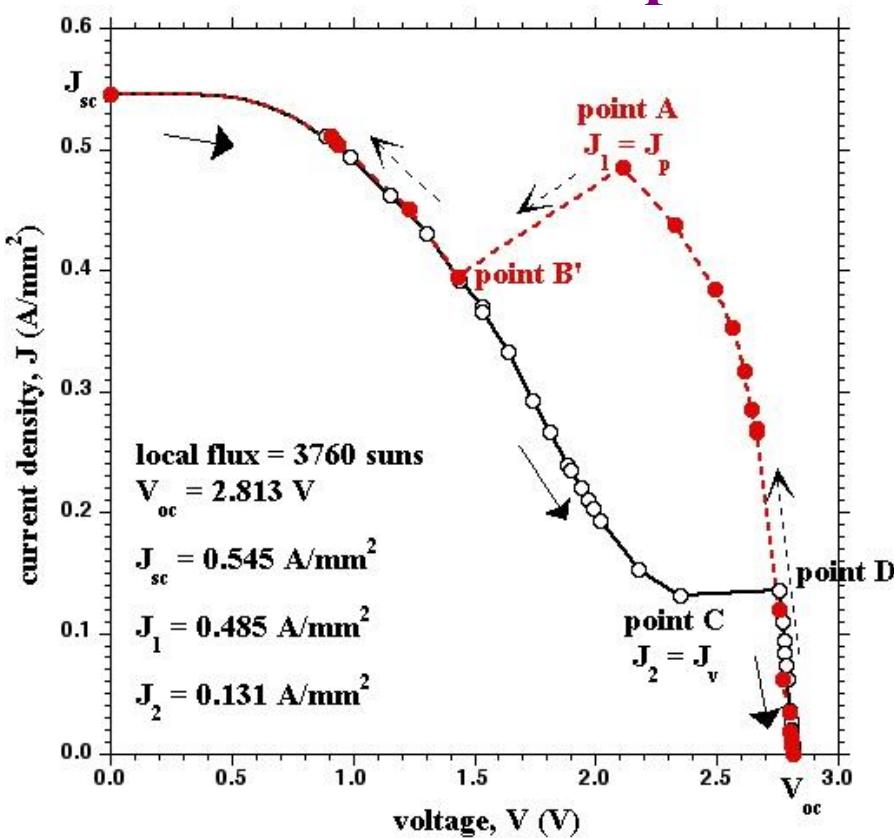
**a discontinuity  $J = J_1$ .**

**I-V trace from short- to open- circuit at identical flux values :**

**The transition (voltage jump) occurred at considerably lower current density  $J_2$ .**

**Completely reversible phenomenon, when flux was again lowered below 3200 suns**

# A consequence of the impact of a tunnel diode on photovoltaic performance



$J_{sc} < J_p \Rightarrow$  no hysteresis, normal photovoltaic  $J$ - $V$  curves

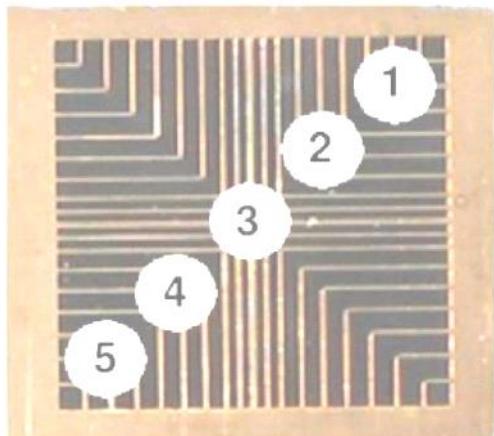
When the cell's current density exceeds the lowest  $J_p$  value of the cell's tunnel diodes, an instability is triggered: the electron tunneling that allows efficient low-resistance photovoltaic performance switches to the thermal-diffusion (high-resistance) regime.

**Application:**  
**non-destructive *in situ* determination of the**  
**tunnel diode's valley and peak (threshold)**  
**current densities;**  
**mapping  $J_p$  and  $J_v$  values over the cell area.**

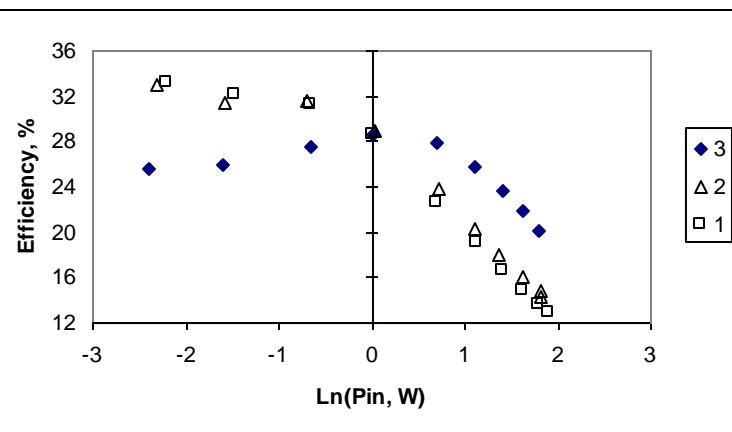
## Other applications of our method:

1) examination how PV current-voltage (*I*-*V*) curves change with localized irradiation

2) Mapping of PV performance of concentrated solar cells

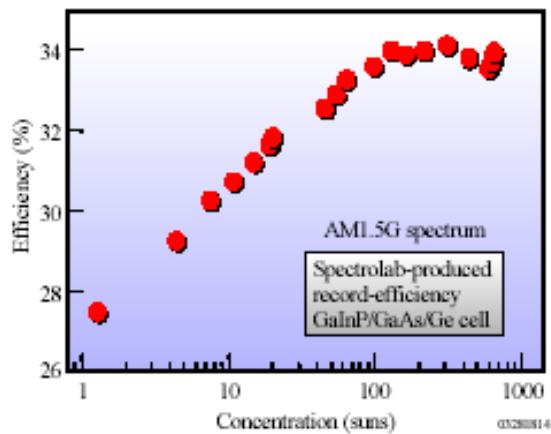


E.A. Katz, J.M. Gordon, W. Tassew and  
D. Feuermann,  
*J. Appl. Phys.* **100**, 044514 (2006).



E. A. Katz, J. M. Gordon and D.  
Feuermann,  
*Progress in Photovoltaics*, **14**, 298 (2006).

O. Korech, B. Hirsch, E. A. Katz and J. M. Gordon. *Appl. Phys. Lett.* **91**, 064101 (2007).



**1 cm<sup>2</sup> 3-j cell – max at 350 suns**

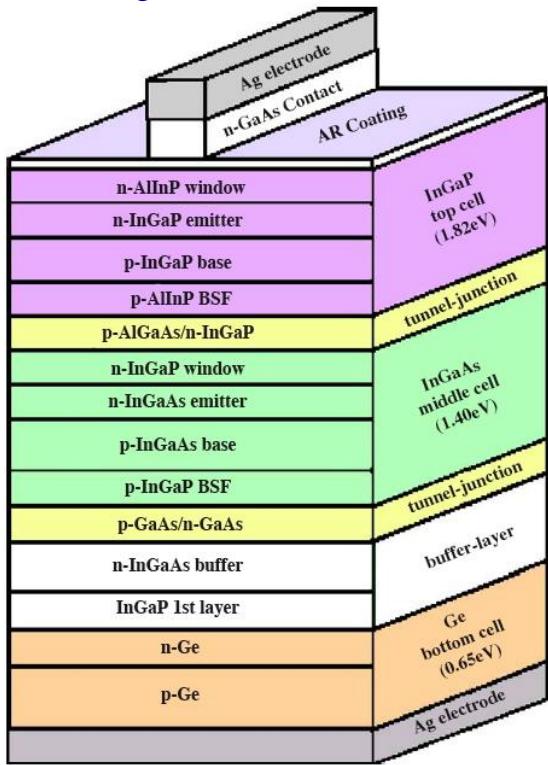
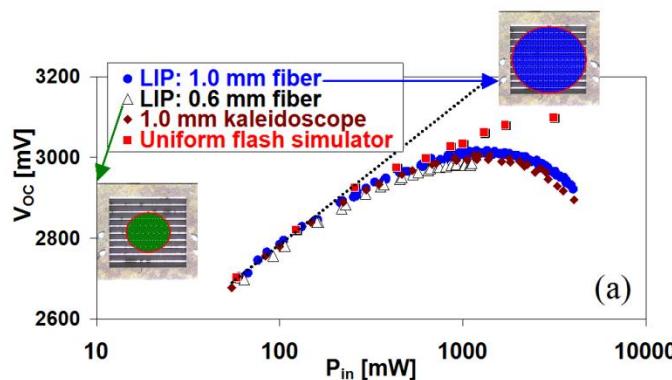
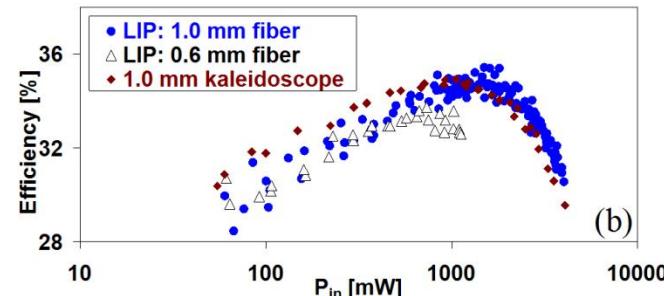


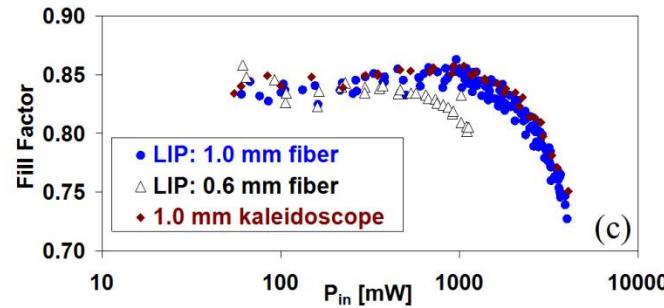
Fig. 1. Schematic of an InGaP/InGaAs/Ge triple-junction solar cell.



(a)



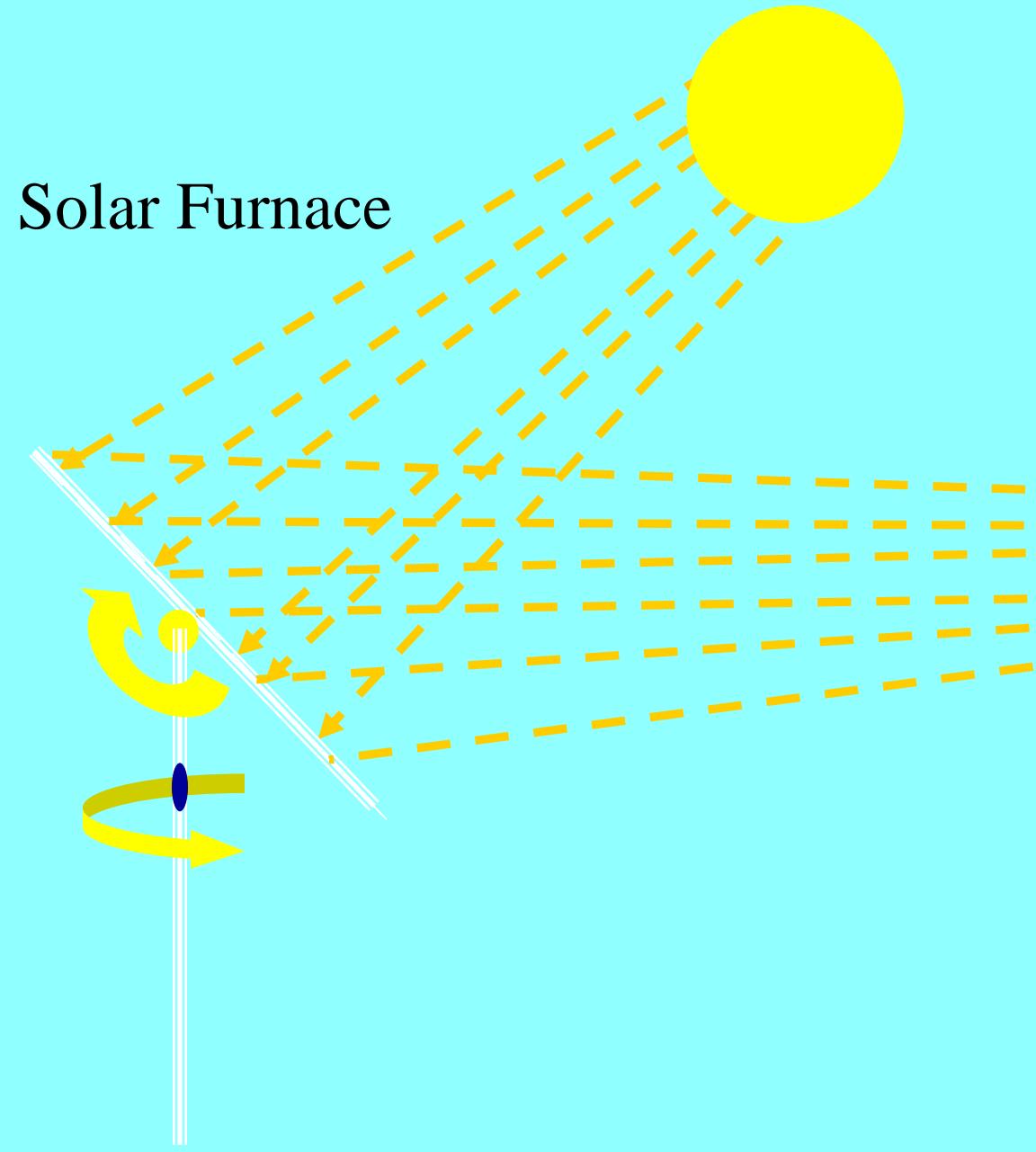
(b)



(c)

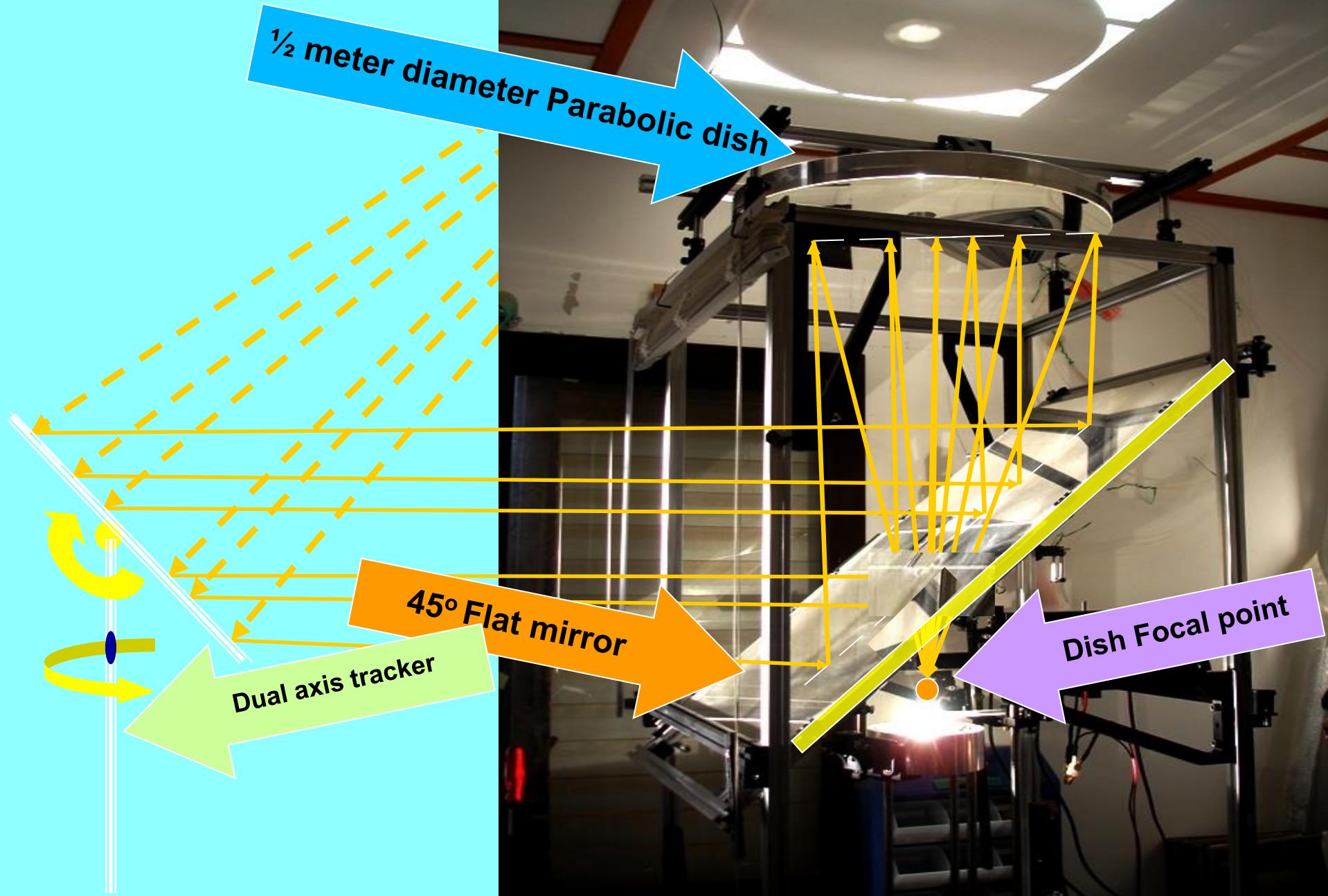
**1 mm<sup>2</sup> 3-j cell – max efficiency at 1000 suns**

Solar Furnace



Solar  
Laboratory

# Solar Furnace



## Temperature dependence of $V_{oc}$ and $eff$

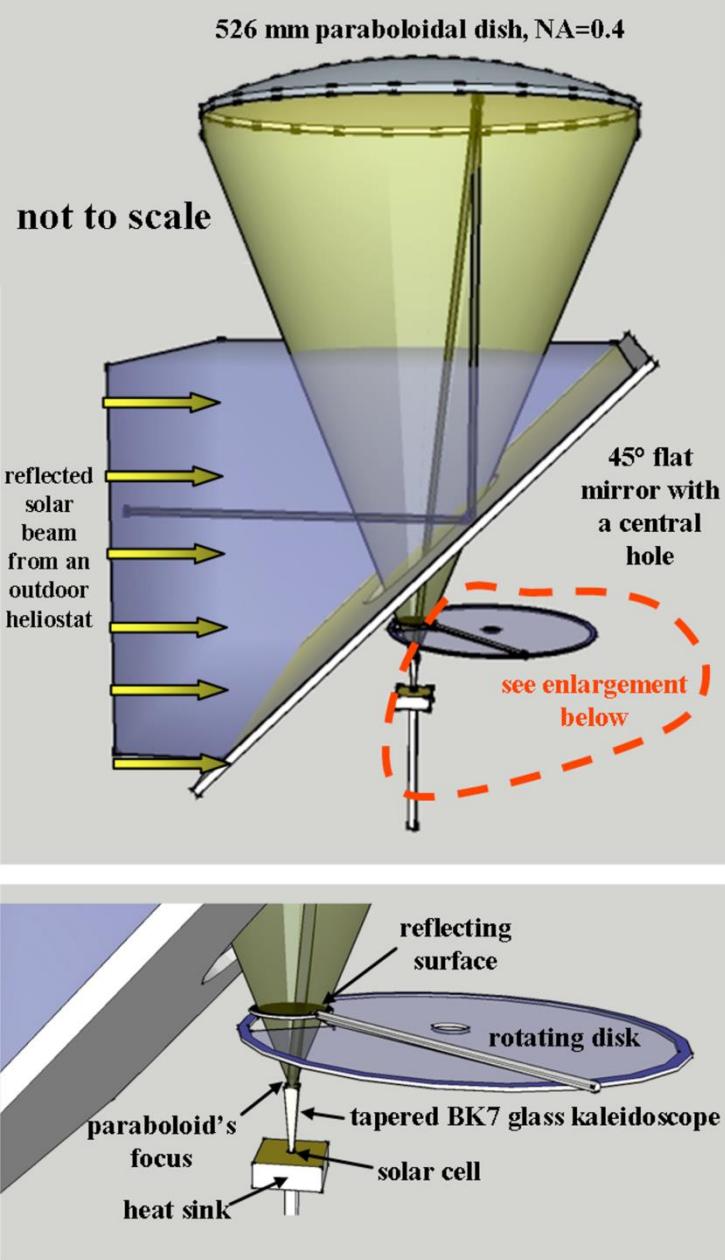
$$V_{oc} = \frac{n\kappa T}{q} \ln \left( \frac{I_{sc}}{I_o} + 1 \right)$$

$$I_o = qN_v N_c \left[ \exp \left( -E_g / \kappa T \right) \right] \cdot \left( \frac{L_n}{n_n \tau_n} + \frac{L_p}{p_p \tau_p} \right)$$

$$V_{oc} = \frac{nE_g}{q} - \frac{n\kappa T}{q} \cdot \ln \left[ \frac{1}{I_{sc}} \cdot qN_v N_c \left( \frac{L_n}{n_n \tau_n} + \frac{L_p}{p_p \tau_p} \right) \right] = a - bT$$

$$a = V_{oc}(0K) = \frac{nE_g}{q}$$

$$b = -dV_{oc} / dT = \frac{n\kappa}{q} \ln \left[ \frac{1}{I_{sc}} \cdot qN_v N_c \left( \frac{L_n}{n_n \tau_n} + \frac{L_p}{p_p \tau_p} \right) \right]$$



# “Solar furnace”

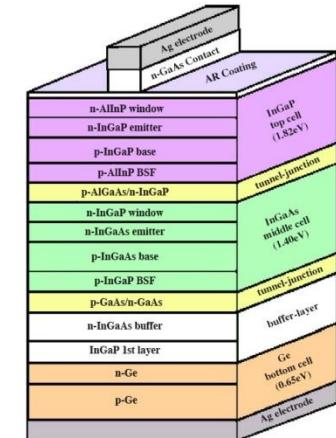
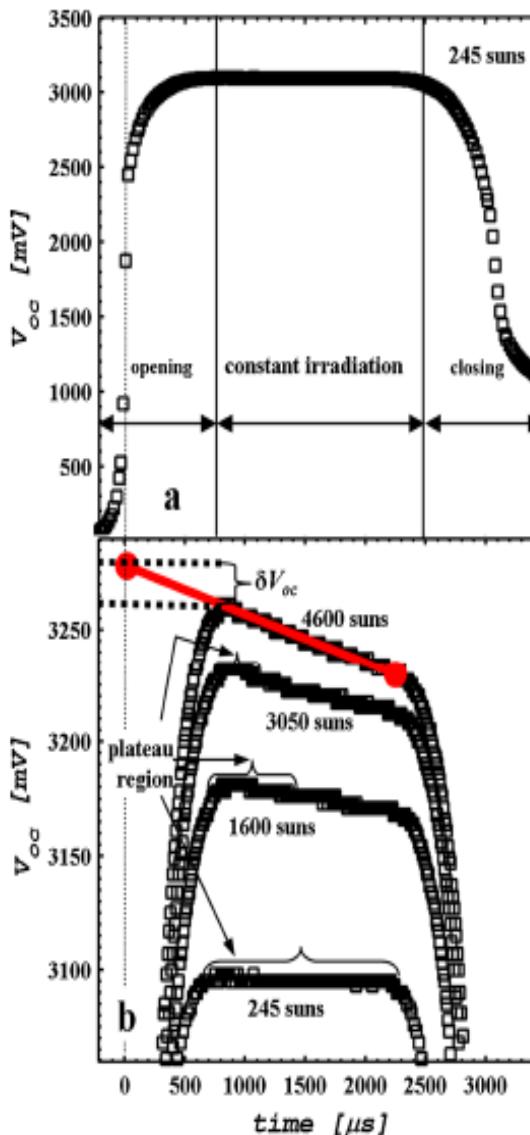
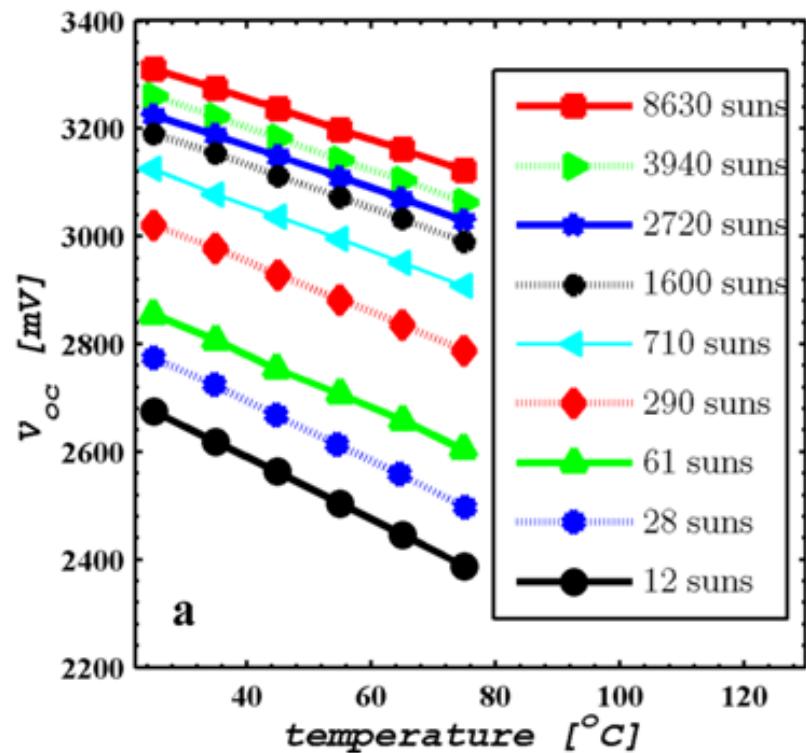
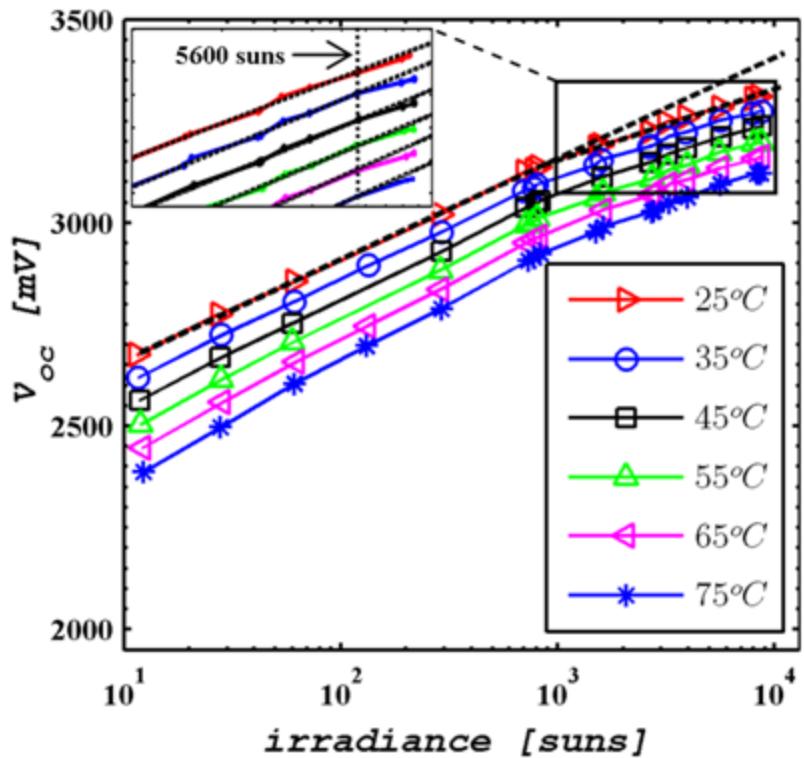
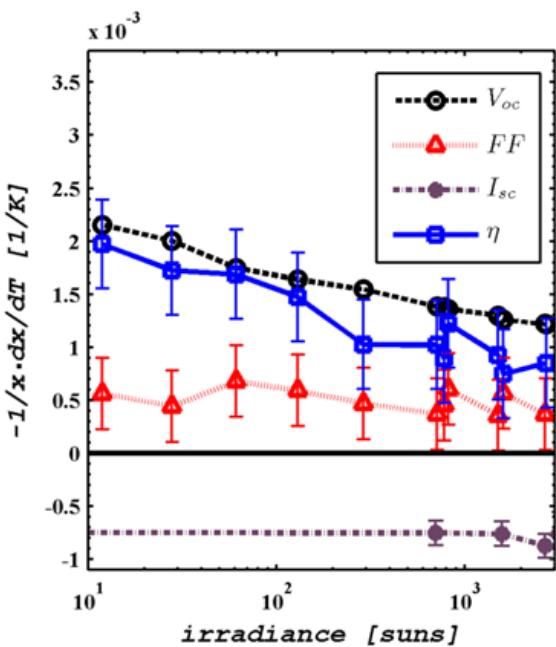


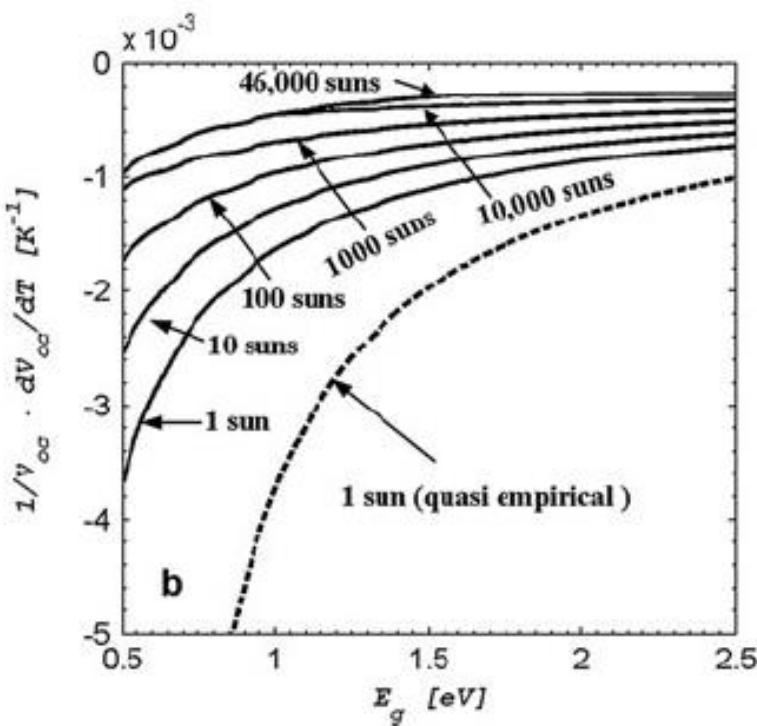
Fig. 1. Schematic of an InGaP/InGaAs/Ge triple-junction solar cell.



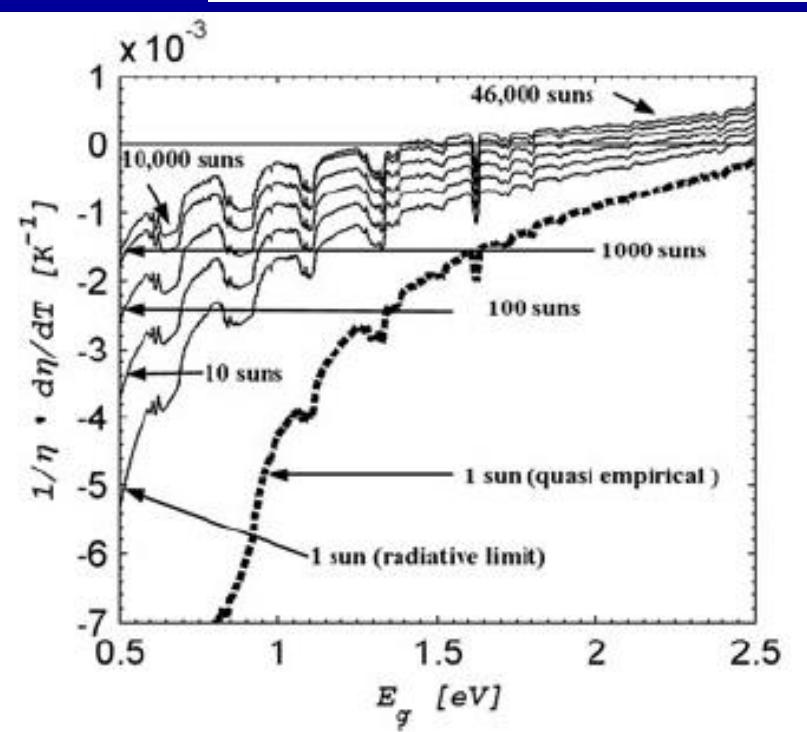
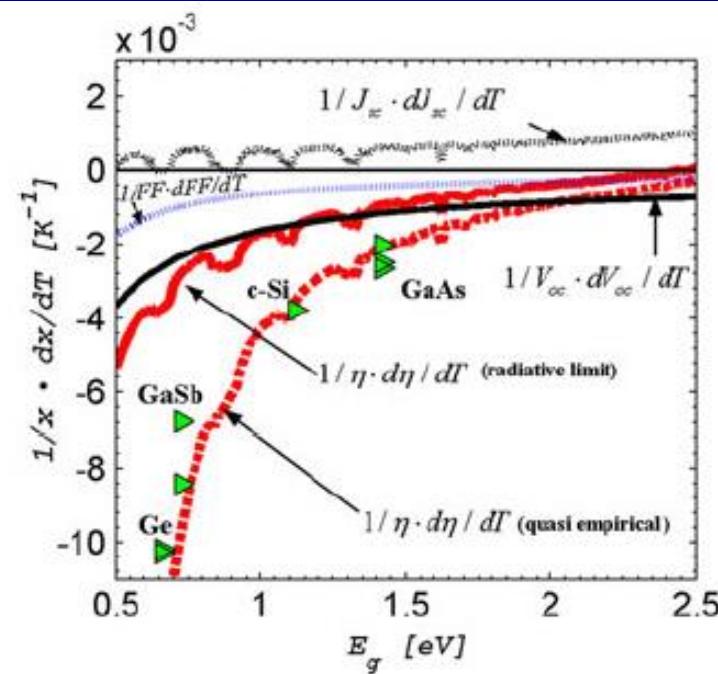
**A. Braun, B. Hirsch, A. Vossier,  
E.A. Katz, and J.M. Gordon,  
*Prog. Photovoltaics*, 21, 202 (2013).**

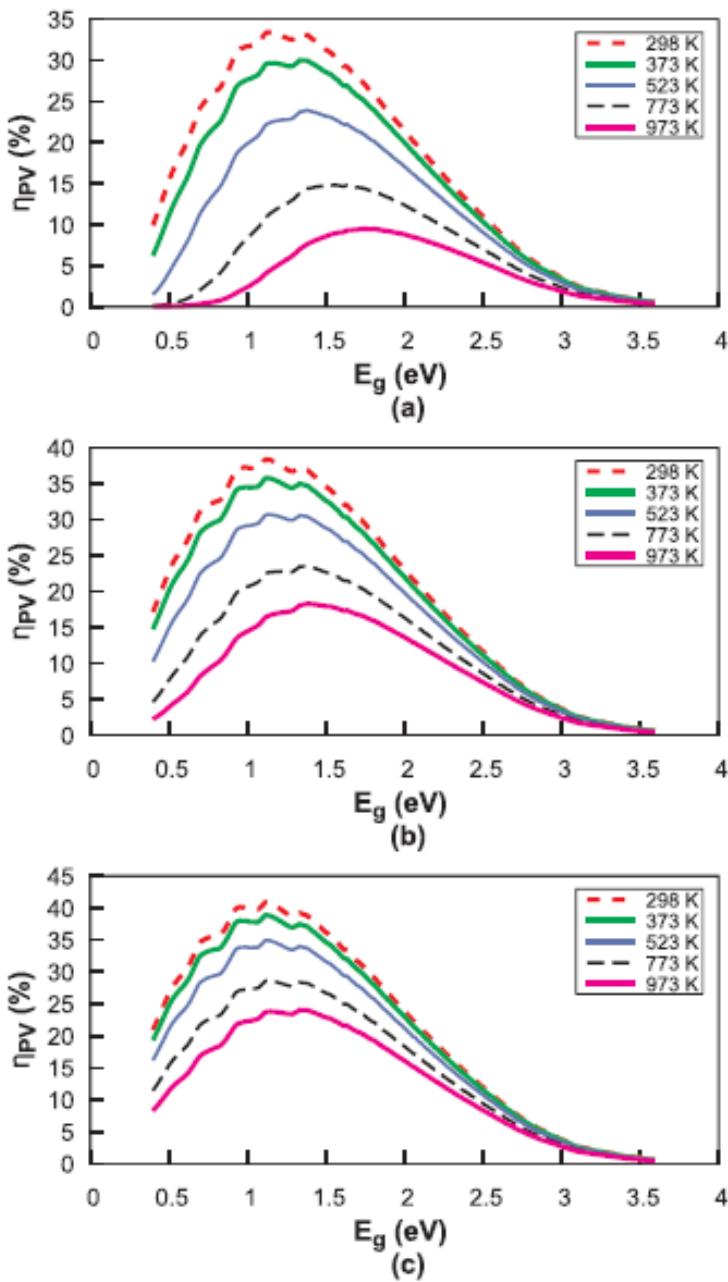


## RESEARCH ARTICLE

**Basic aspects of the temperature coefficients of concentrator solar cell performance parameters**Avi Braun<sup>1</sup>, Eugene A. Katz<sup>1,2</sup> and Jeffrey M. Gordon<sup>1,3\*</sup>

1 sun →



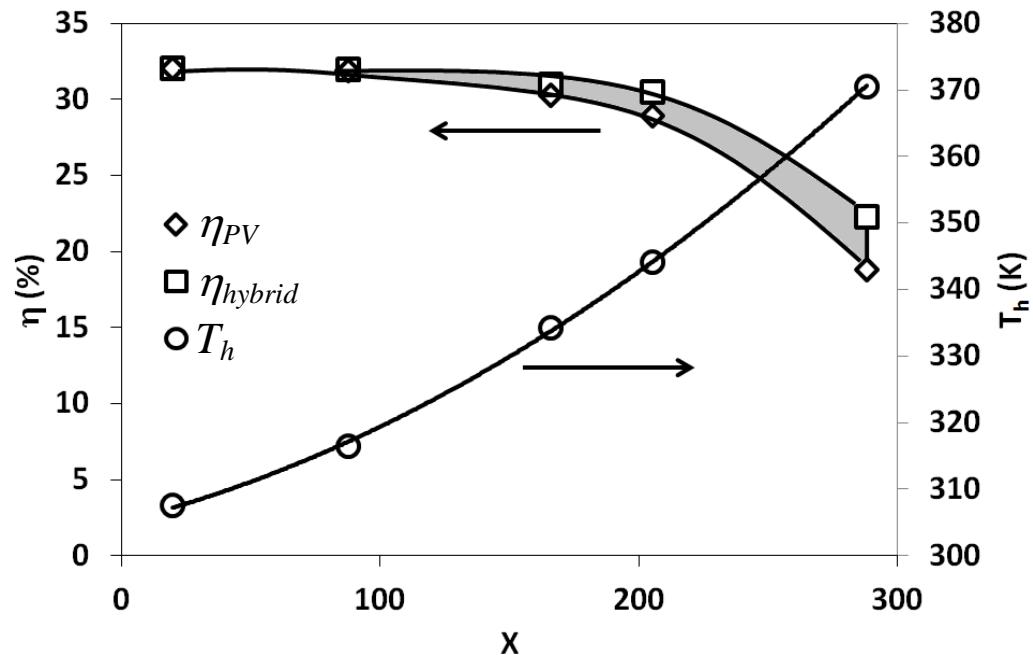
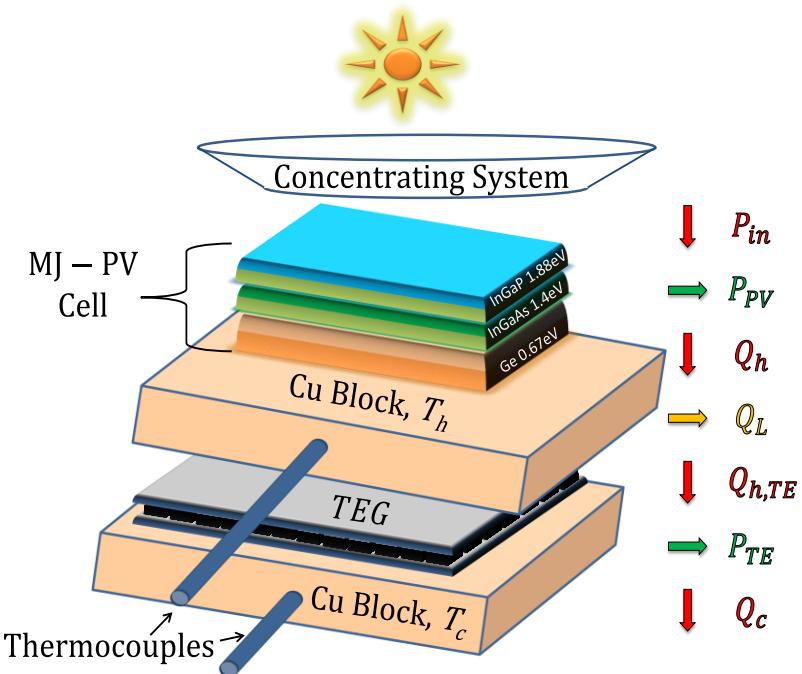


J. Zeitouny, N. Lalau, J. M. Gordon,  
E. A. Katz, G. Flamant, A. Dollet, A.  
Vossier, Sol. Energy Mater. Sol. Cells,  
182, 61, 2018

Fig. 1. PV efficiency achievable with a 1-junction cell as a function of  $E_g$  for  $T = 298\text{--}973\text{ K}$ , and for  $C = 1$  (a), 100 (b), and 1000 (c).

# Hybrid photovoltaic-thermoelectric system for concentrated solar energy conversion: Experimental realization and modeling

Ofer Beeri,<sup>1,a)</sup> Oded Rotem,<sup>2</sup> Eden Hazan,<sup>1</sup> Eugene A. Katz,<sup>3,4</sup> Avi Braun,<sup>3,b)</sup> and Yaniv Gelbstein<sup>1,2</sup>



Exploit the options of solar thermal for dispatchability via:  
(a) gas backup heating, and/or (b) multi-hour thermal storage

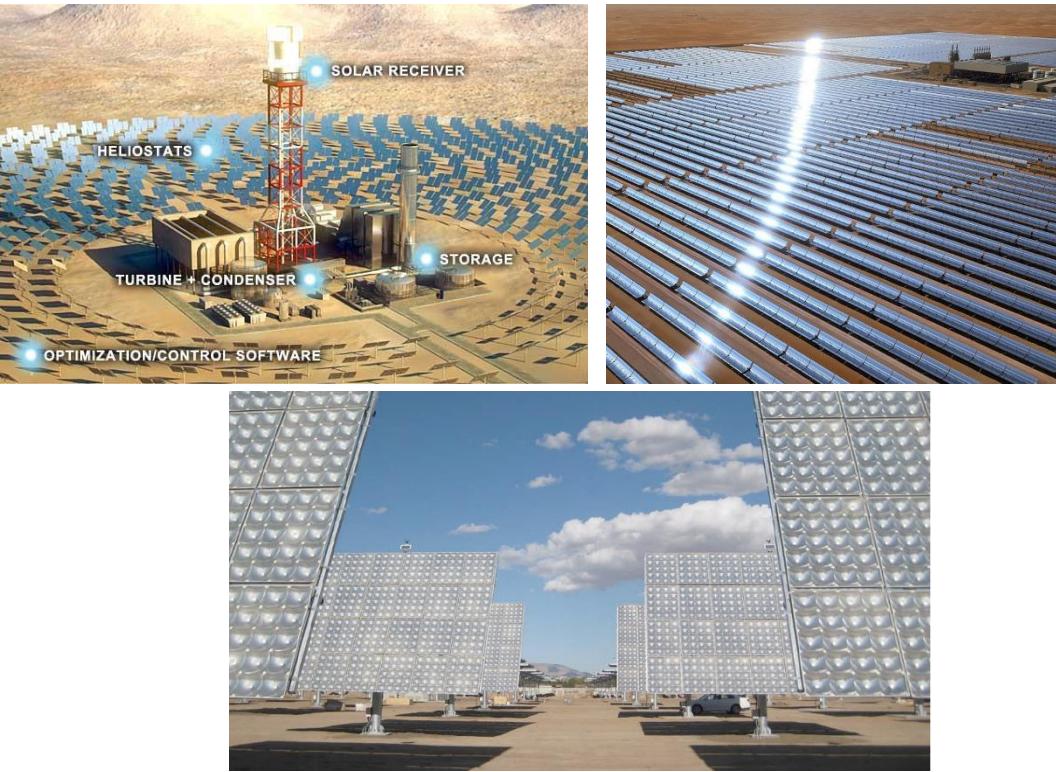


combined with the substantially higher efficiency of PV, in particular concentrator PV (CPV).

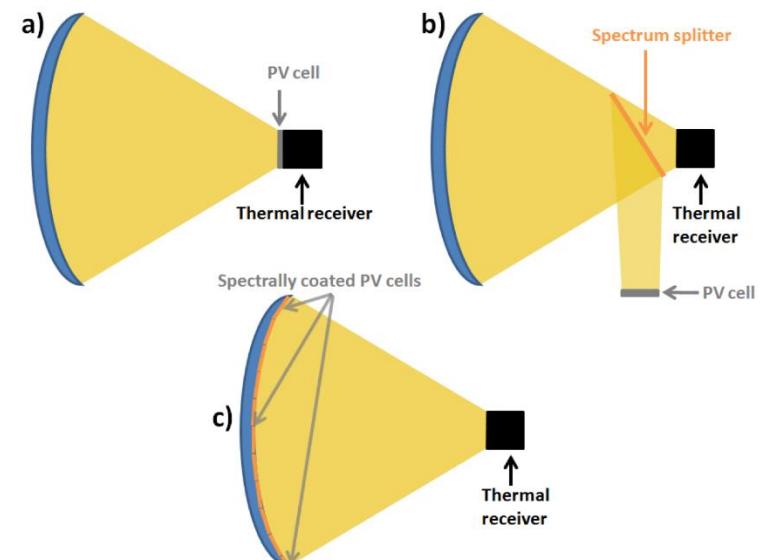
But how?



# High-temperature hybrid photovoltaic-solar thermal plants for dispatchable and high-efficiency electricity generation

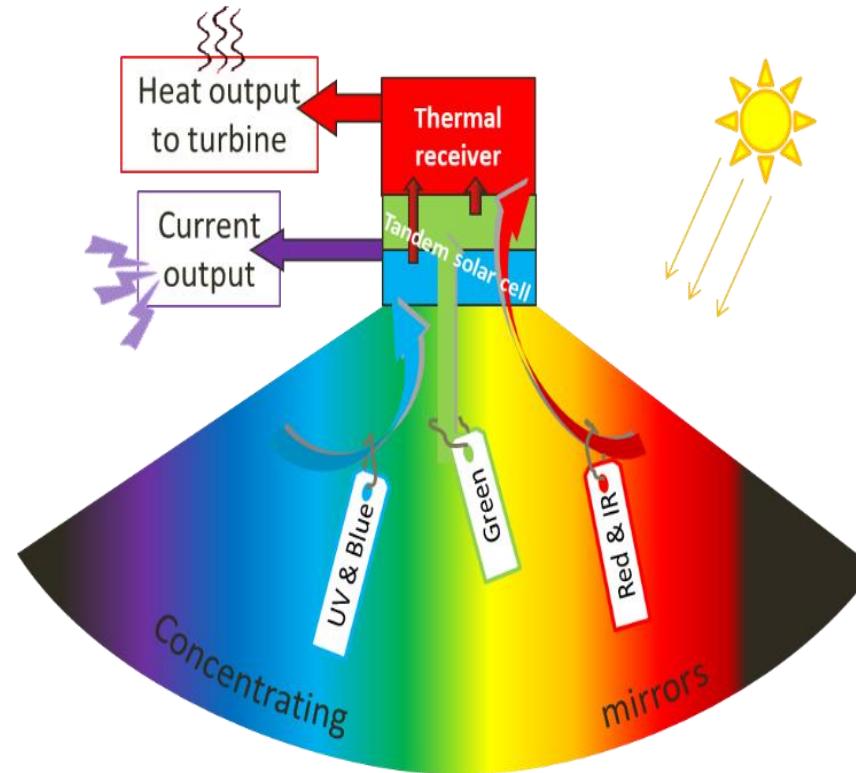


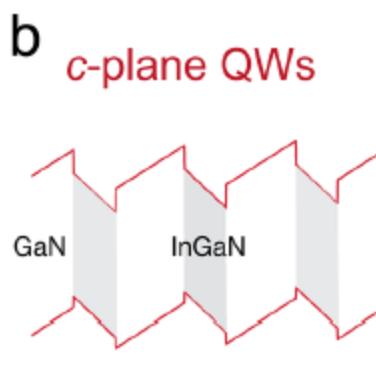
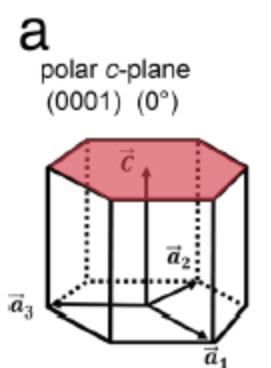
## 3 principal hybrid strategies



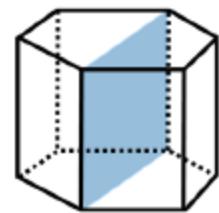
# InGaN/GaN multi-quantum-well solar cells under high solar concentration and elevated temperatures for hybrid solar thermal-photovoltaic power plants

Gilad Moses<sup>1,2</sup>  | Xuanqi Huang<sup>3</sup>  | Yuji Zhao<sup>3</sup> | Matthias Auf der Maur<sup>4</sup> |  
Eugene A. Katz<sup>1,5</sup> | Jeffrey M. Gordon<sup>1</sup> 

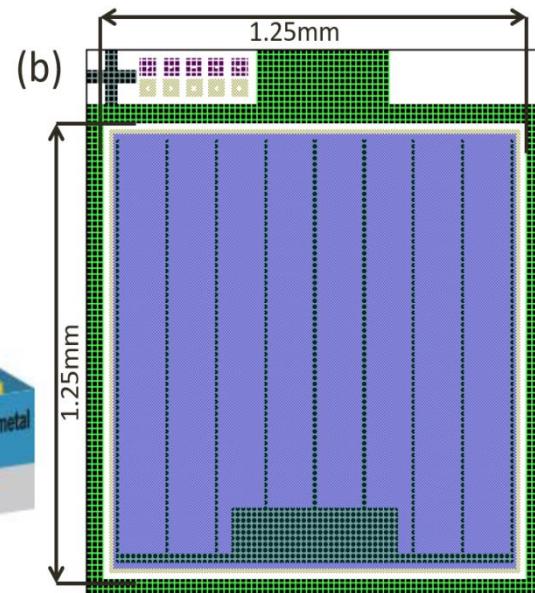
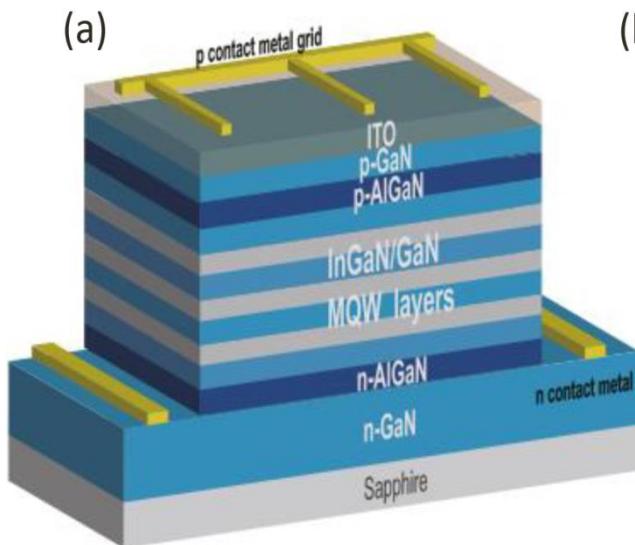
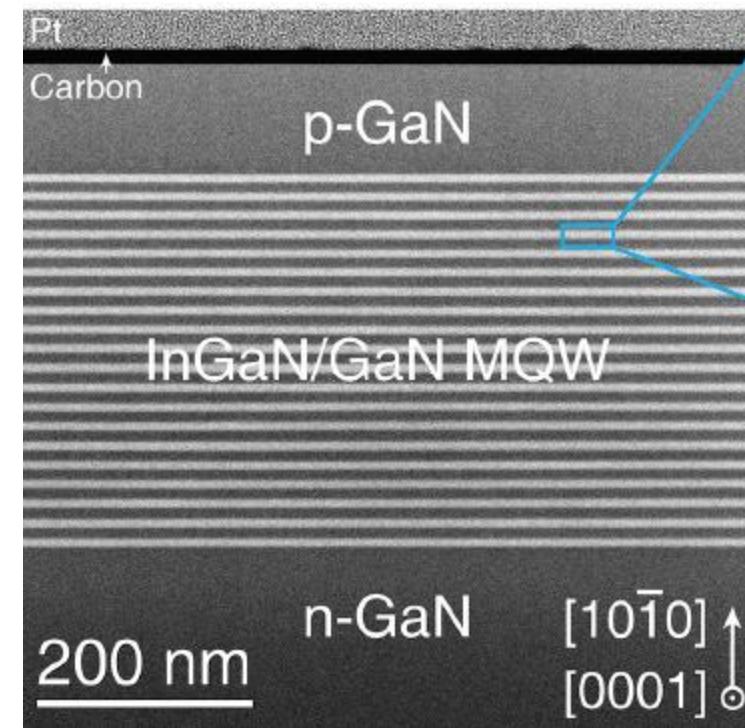


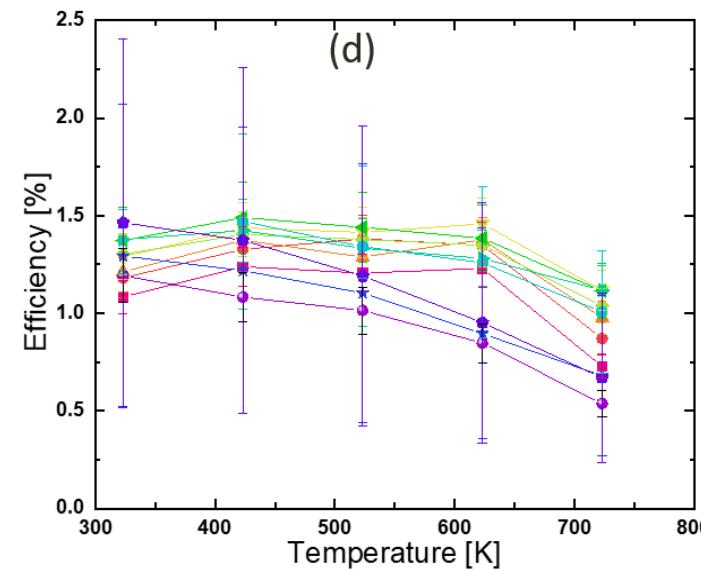
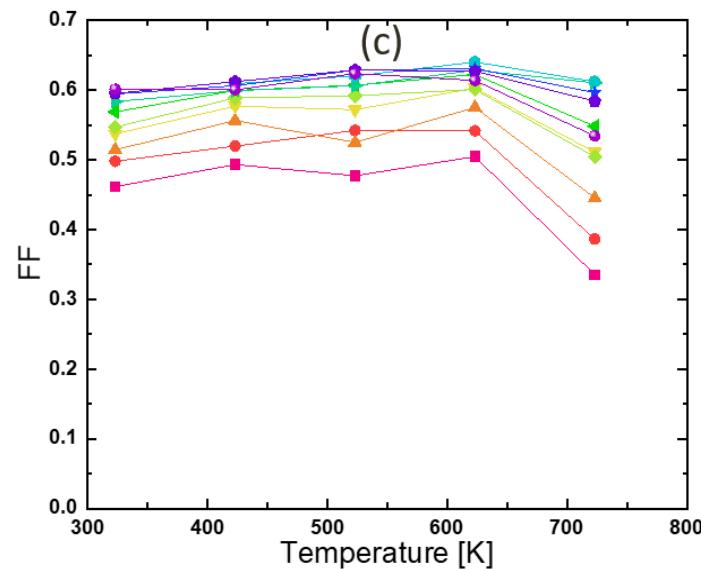
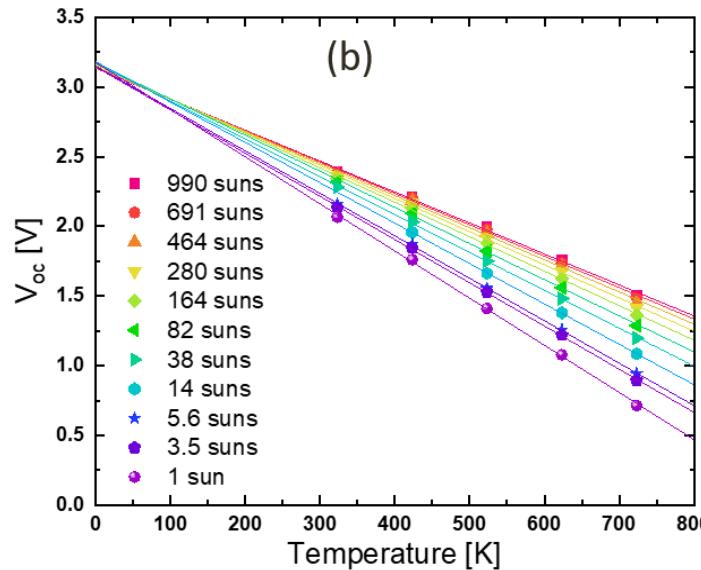
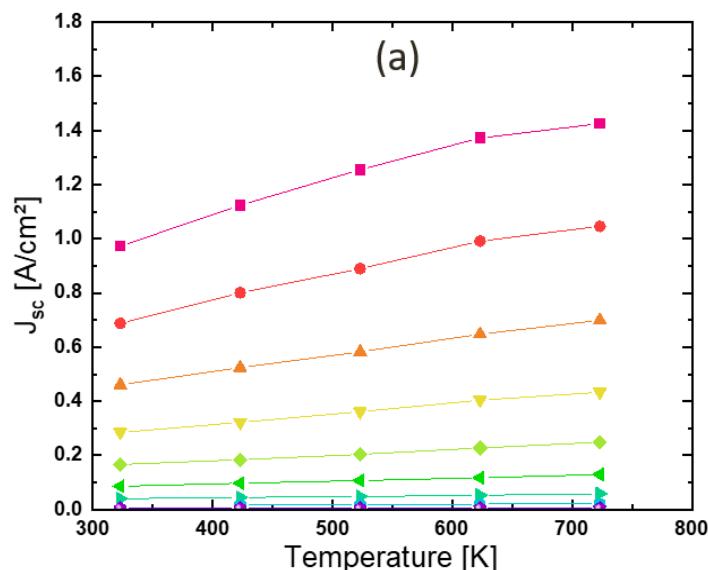


nonpolar *m*-plane  
(1010) (90°)

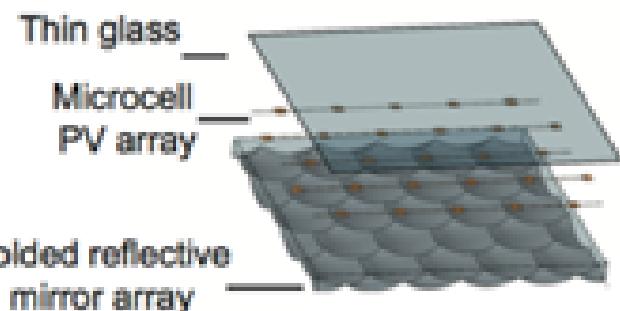
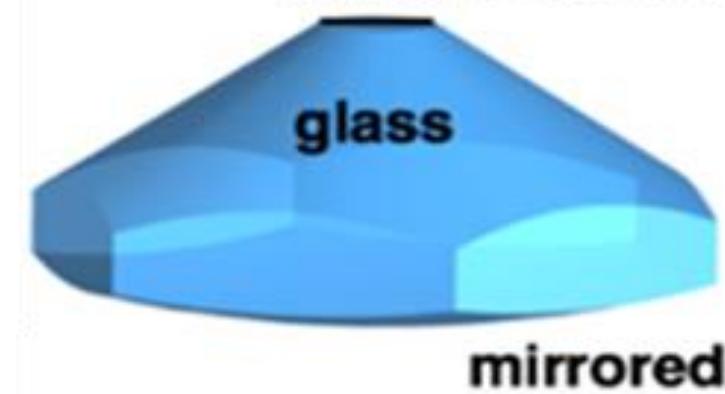
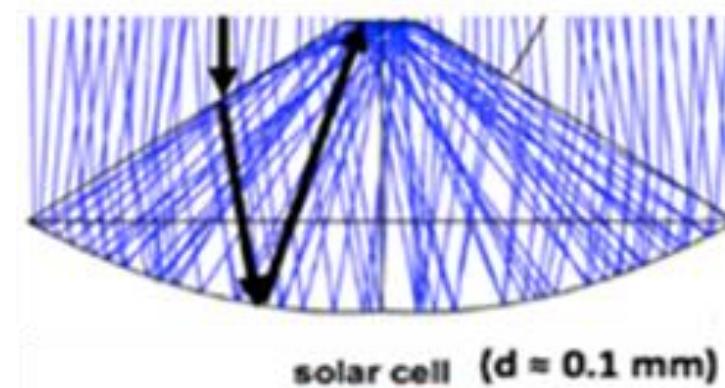


*m*-plane QWs





G. Moses, X. Huang, Y. Zhao, M. Auf der Maur, E. A. Katz, J. M. Gordon. GaN/InGaN multi-quantum-well solar cells under high solar concentration and elevated temperatures for hybrid solar thermal-photovoltaic power plants. *Progress in Photovoltaics: Research and Applications*, 28, 1167-1174 (2020).

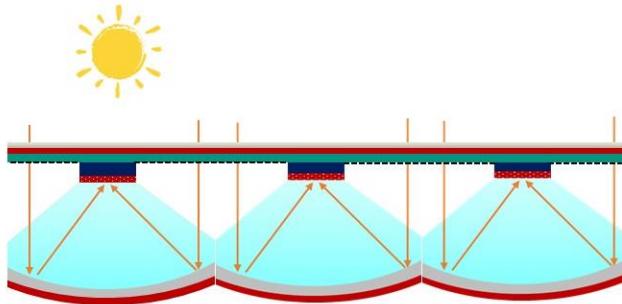


**Compact MC lens for space, tested with high-efficiency III-V MJ solar cells**

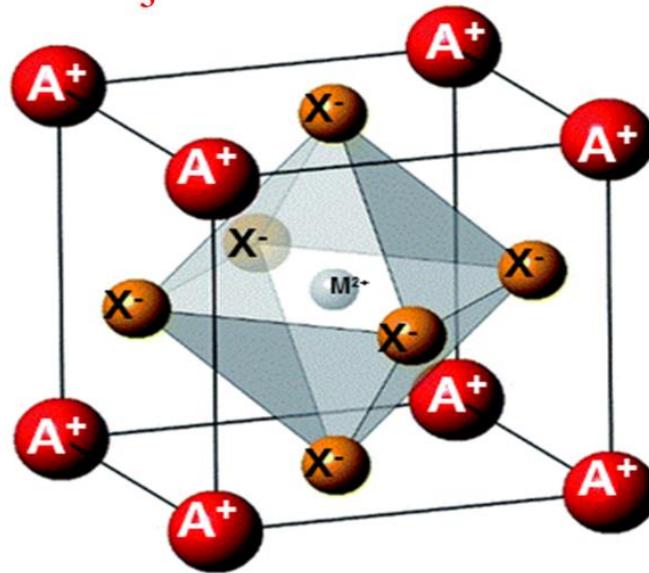
C. J. Ruud, A.J. Grede, J. K. Chang, M. P. Lumb, K. J. Schmieder, B. Fisher, J. A. Rogers, J.M. Gordon. Design and demonstration of ultra-compact microcell concentrating photovoltaics for space. *Optics Express*, 27: A1467 (2019).

C. J. Ruud, J.M. Gordon, N. C. Giebink. Microcell concentrating photovoltaics for space. *Joule*, 7: 1093 (2023).

## High-efficiency perovskite $\mu$ CPV prototype



$\text{AMX}_3$



$A = \text{Cs}^+,$   
 $\text{CH}_3\text{NH}_3^+ (\text{MA}),$   
 $\text{NH}=\text{CHNH}_3^+ (\text{FA});$

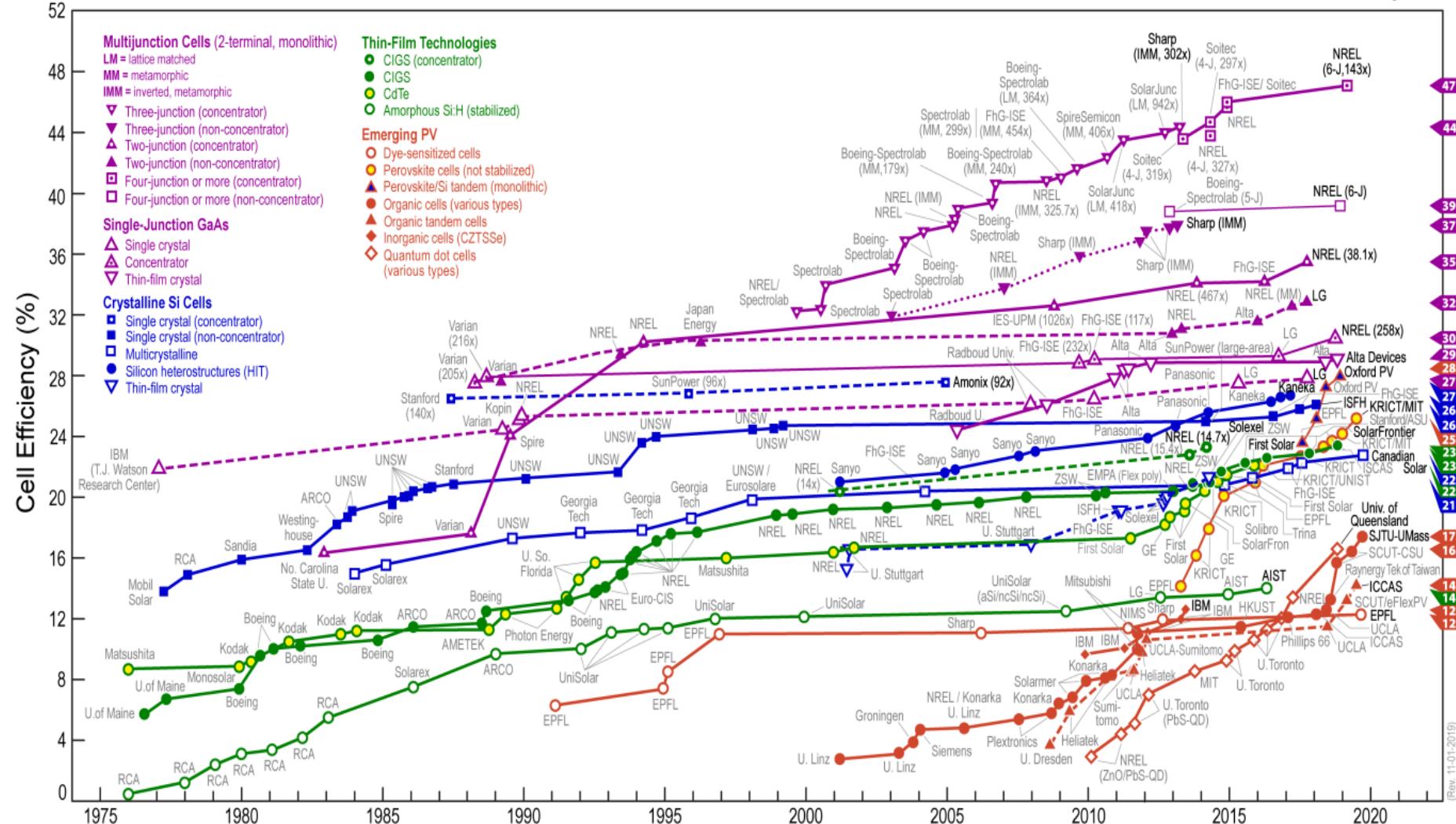
$M = \text{Pb}, \text{Sn};$

$X = \text{I}, \text{Br}, \text{Cl}$

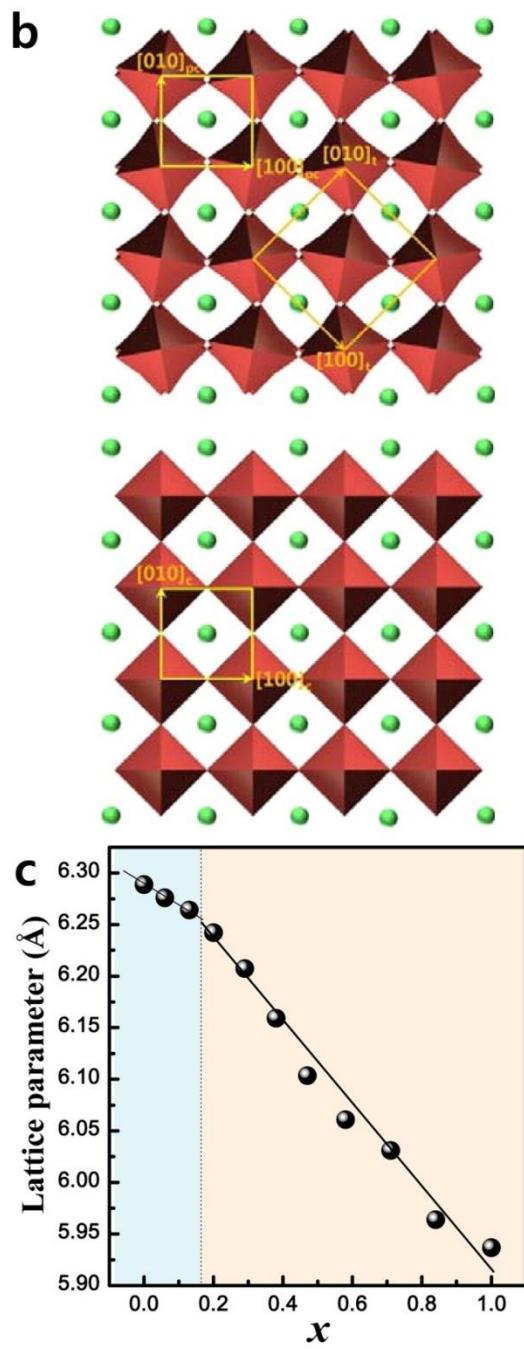
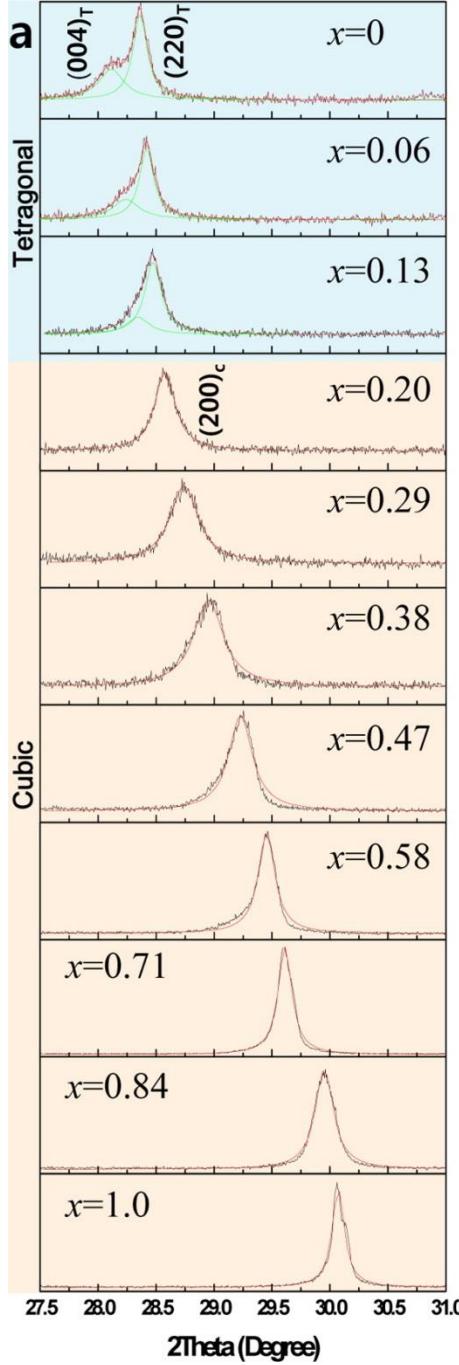
$\text{CH}_3\text{NH}_3\text{PbX}_3$

**Unit cell of metal halide perovskite.  
Here, A is an organic or inorganic cation, M is a divalent metal cation, and X is a halide anion.**

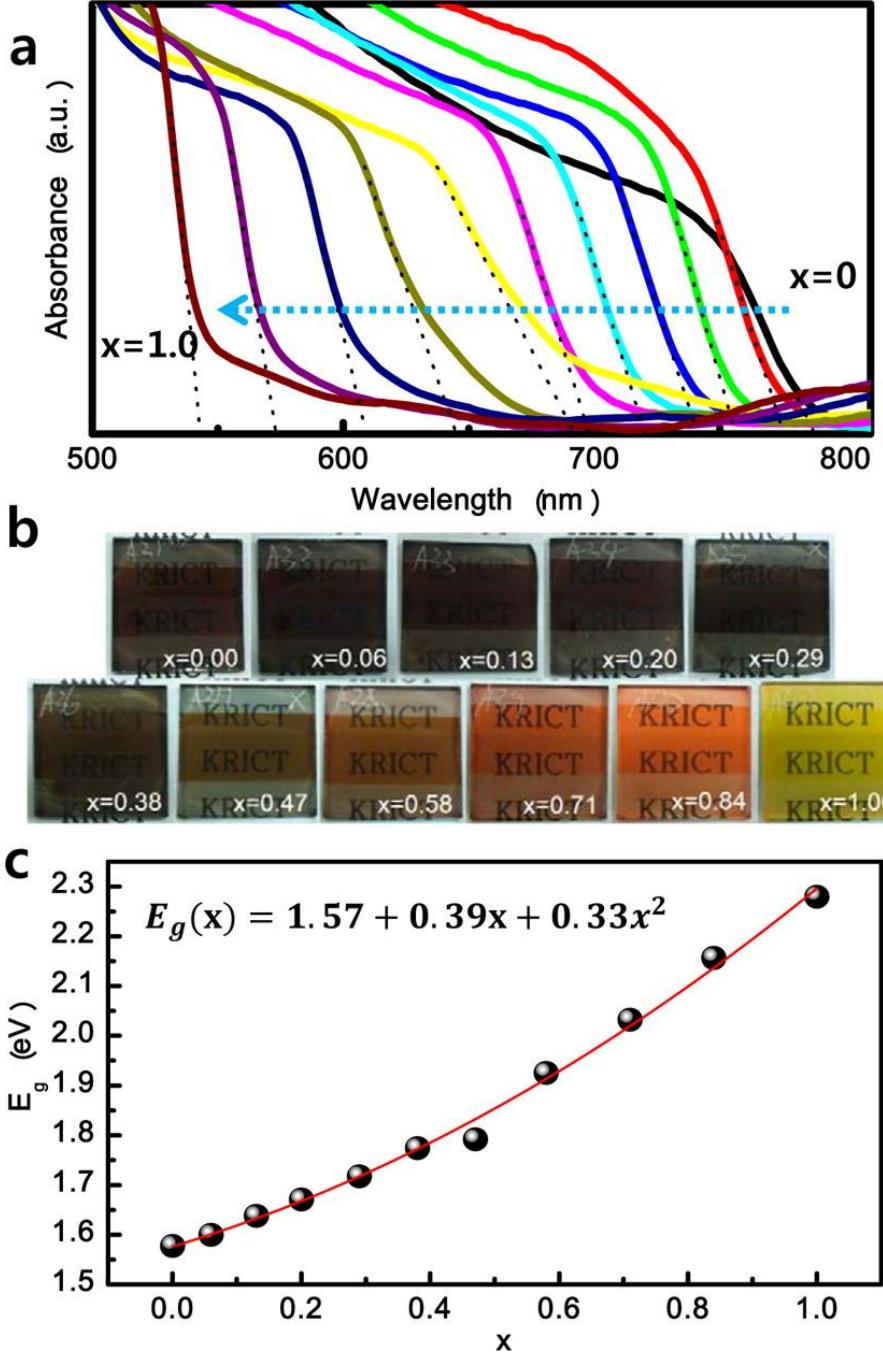
# Best Research-Cell Efficiencies



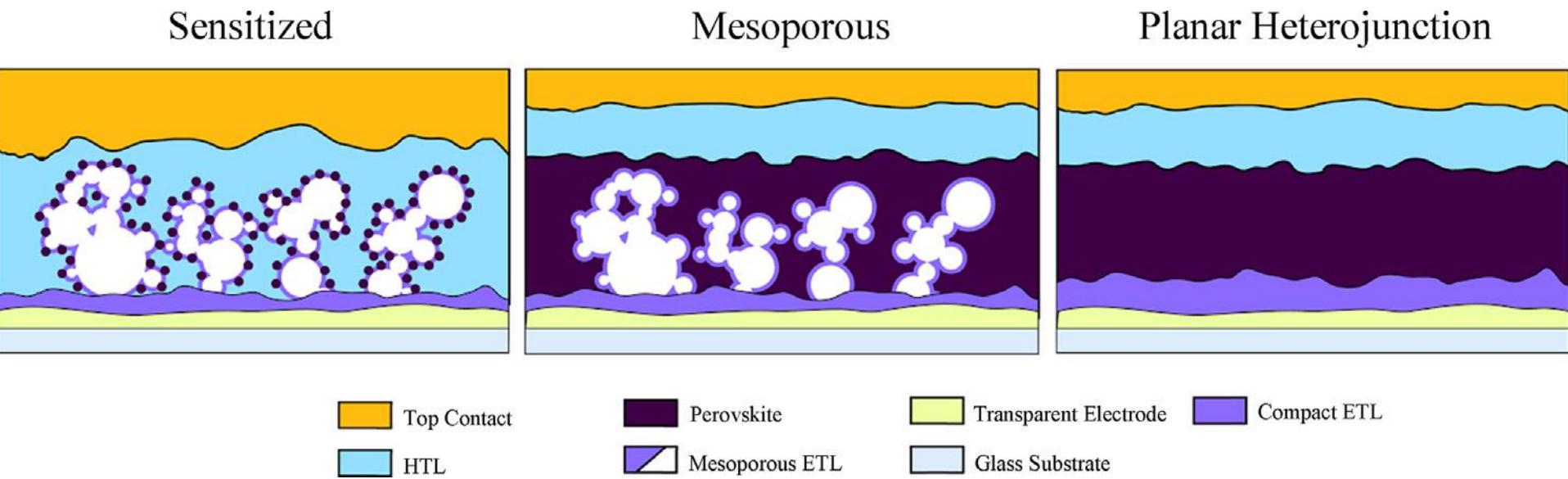
*Record laboratory efficiencies of solar cells*



X-ray diffraction analysis of the  $\text{MAPb}(\text{I}_{1-x}\text{Br}_x)_3$ . (a) XRD patterns of  $\text{MAPb}(\text{I}_{1-x}\text{Br}_x)_3$  ( $x = 0, 0.06, 0.13, 0.20, 0.29, 0.38, 0.47, 0.58, 0.71, 0.84, 1.0$ ), magnified in the region of the tetragonal (004)T and (220)T and cubic (200)C peaks ( $2\theta = 27.5\text{--}31.0^\circ$ ). (b) Crystal structures and unit lattice vectors on the (001) plane of the tetragonal ( $\text{I}4/\text{mcm}$ ) (top) and cubic ( $\text{Pm}3\bar{m}$ ) (bottom) phases are represented. The tetragonal lattice can be taken by the pseudocubic (pc) lattice. (c) Lattice parameters of pseudocubic or cubic  $\text{MAPb}(\text{I}_{1-x}\text{Br}_x)_3$  as a function of Br composition (x).



**Photographs and UV-vis absorption spectra of MAPb(I<sub>1-x</sub>Br<sub>x</sub>)<sub>3</sub>. (a) UV-vis absorption spectra of FTO/bl-TiO<sub>2</sub>/mp-TiO<sub>2</sub>/ MAPb(I<sub>1-x</sub>Br<sub>x</sub>)<sub>3</sub>/Au cells measured using an integral sphere. (b) Photographs of 3D TiO<sub>2</sub>/MAPb(I<sub>1-x</sub>Br<sub>x</sub>)<sub>3</sub> bilayer nanocomposites on FTO glass substrates. (c) A quadratic relationship of the band-gaps of MAPb(I<sub>1-x</sub>Br<sub>x</sub>)<sub>3</sub> as a function of Br composition (x).**



**Three major groups of perovskite solar cells categorized based on the deposition sequence.**

## **Three intriguing features of metal-halide perovskites**

**Defect tolerance**

**Self healing**

**Resistance to cosmic radiation**

**due to the softness of the  
perovskite lattice (?)**

סימפוזיון שדה בוקר

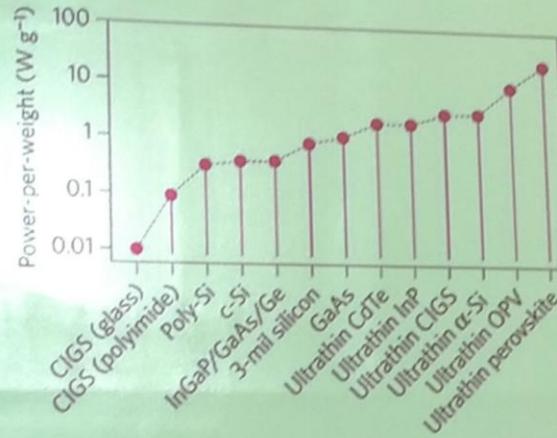
## לייצור חשמל מהשמש

Sede Boqer  
Symposium on  
**Solar  
Electricity  
Production**



### Flexible perovskite for space applications

Efficiency still plays a fundamental role, however it is important to consider also the weight of the solar cells



III-V multi-junction solar cells are most commonly used, however:

- The thickness of a typical GaInP/GaAs/Ge three-junction space cell is above 200  $\mu\text{m}$ , which implies high weight and volume.
- The scarcity of material sources and complex fabrication processes of III-V multi-junction solar cells lead to high production cost
- Low sustainability of the production process

Stability is also a vital issue to tackle given the harsh environmental conditions that solar cells have to withstand.



M. Kaltenbrunner, *Nature Mater* 14, 1032–1039 (2015).

Francesca Brunetti, University of Rome Tor Vergata

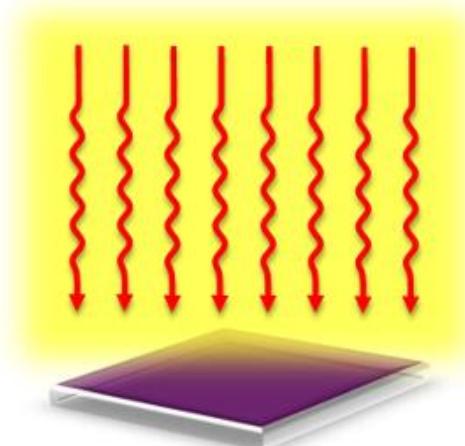
M. Kaltenbrunner, et al, *Nature Mater.* 14, 1032, 2015.

Corrected: Publisher Correction

# High irradiance performance of metal halide perovskites for concentrator photovoltaics

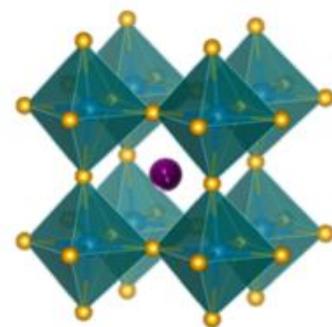
Zhiping Wang<sup>1,2</sup>, Qianqian Lin<sup>1,2</sup>, Bernard Wenger<sup>1</sup>, M. Greyson Christoforo<sup>1</sup>, Yen-Hung Lin<sup>1</sup>, Matthew T. Klug<sup>1</sup>, Michael B. Johnston<sup>1</sup>, Laura M. Herz<sup>1</sup> and Henry J. Snaith<sup>1\*</sup>

**a** Solar radiation

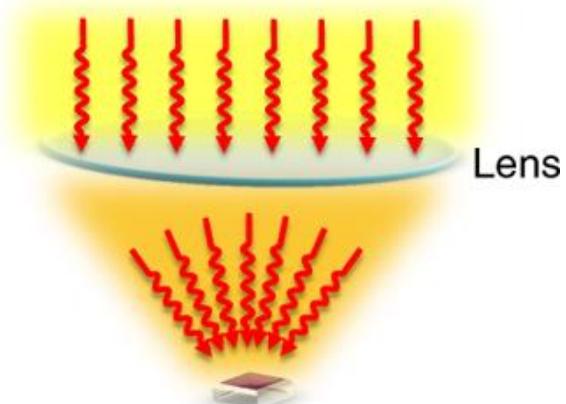


Large-area  
'flat-plate' device

**b** Perovskite:  $\text{ABX}_3$



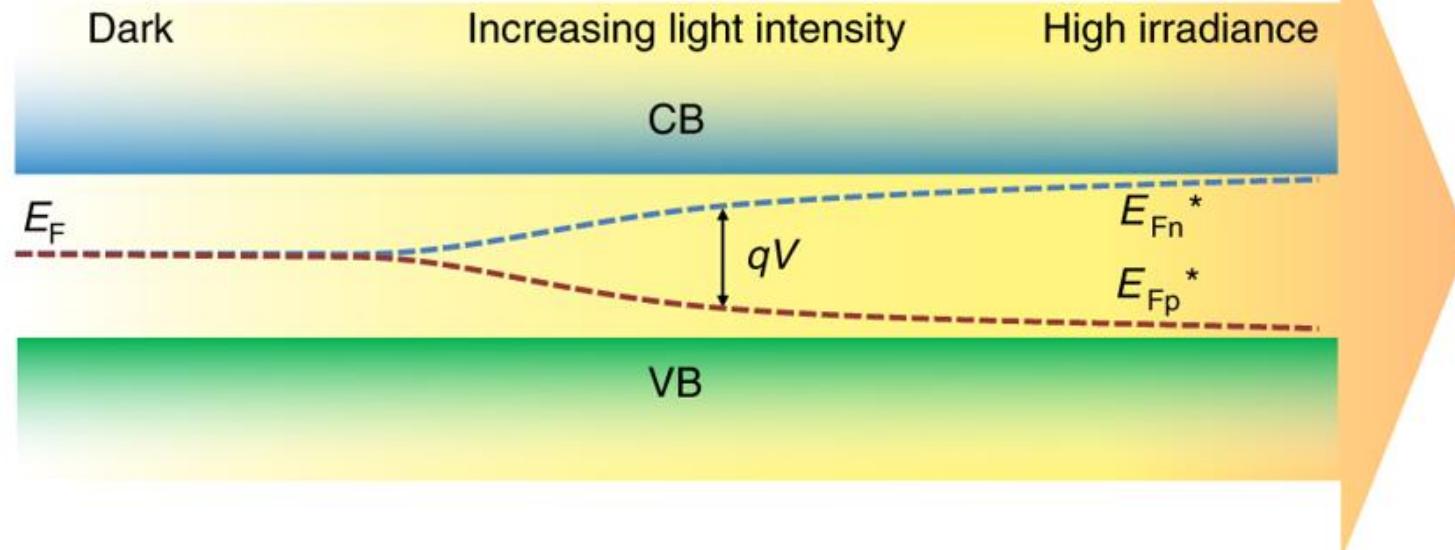
**c** Solar radiation

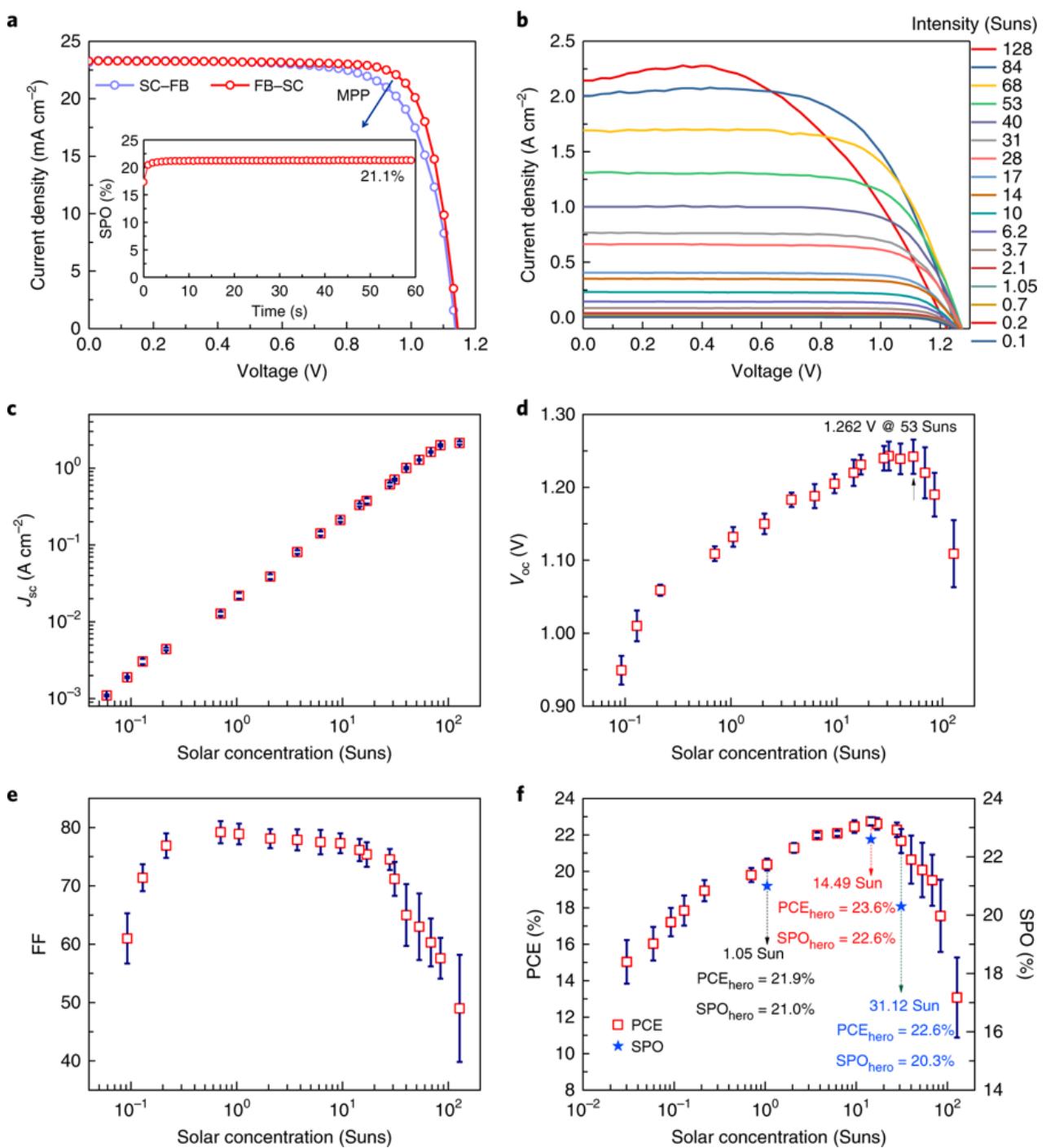


Small-area  
'concentrator' device

● A site = MA, FA/Cs, FA/MA/Cs   ● B site = Pb   ● X site = I/Br

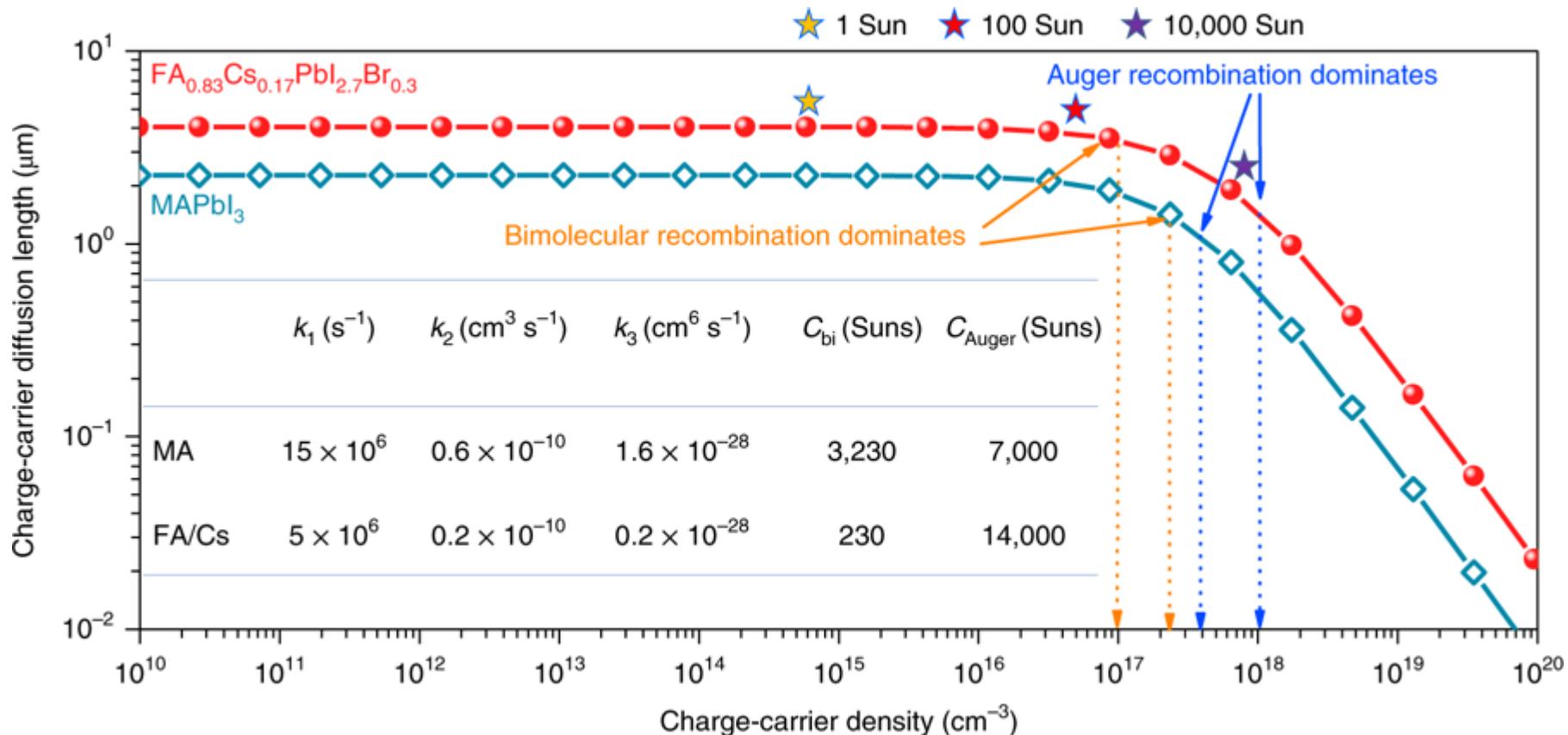
**d**





# High irradiance performance of metal halide perovskites for concentrator photovoltaics

Zhiping Wang<sup>①,2</sup>, Qianqian Lin<sup>①,2</sup>, Bernard Wenger<sup>1</sup>, M. Greyson Christoforo<sup>1</sup>, Yen-Hung Lin<sup>③</sup>, Matthew T. Klug<sup>1</sup>, Michael B. Johnston<sup>④</sup>, Laura M. Herz<sup>③</sup> and Henry J. Snaith<sup>①\*</sup>



## **Take home messages**

Concentrator photovoltaics (CPV) provides possibilities for:

- ultra-high efficiency;
- high tolerance to the cell heating;
- hybrid technologies: CPV-thermoelectricity, CPV-thermosolar (CSP) – prospect for thermal storage;
- CPV (microcells) with ultra-high specific power and high tolerance to cosmic radiation (perovskites)

## **ACKNOWLEDGMENTS:**

**BGU – J. M. Gordon, D. Feuermann, A. Braun, B. Hirsch, R. Fleischman**

**CNRS-PROMES , France - G. Flamant, A. Dollet, A. Vossier,**

**University of Rome Tor Vergata (Italy) – M. Auf der Maur, F. Brunetti, F. De Rossi, Giulio Koch**

**THANK\$!**

**Funding:**

**Israel Ministry of National Infrastructures, Energy and Water resources;**

**Israel Ministry of Science, Adelis Foundation, Italy-Israel Scientific Research Program, Fellowship for Visiting Professors in University of Turin.**